PHARMACOPOEIAL DISCUSSION GROUP

SIGN-OFF DOCUMENT CODE: G-20 NAME: CHROMATOGRAPHY

It is understood that sign-off covers the technical content of the draft and each party will adapt it as necessary to conform to the usual presentation of the pharmacopoeia in question; such adaptation includes stipulation of the particular pharmacopoeia's reference materials and general chapters.

Harmonised provisions:

Provision	EP	JP	USP
Introduction	+	+	+
Definitions (1)	+	+	+
System suitability (1)	+	+	+
Adjustment of chromatographic conditions (1)(2)	+	+	+
Quantitation	+	+	+
Other considerations	+	+	+

- (1) Thin layer chromatography will not be stipulated by JP.
- (2) JP will not stipulate the sentence 'For some parameters, the adjustments are explicitly defined in the monograph to ensure the system suitability'

Non-harmonised provisions: N/A

Local requirements

EP	JP	USP
Introduction: reference to corresponding Ph. Eur. chapters on TLC, LC, GC In planar chromatography, retardation factors R _{Fst} and	Introduction: The sentence handling of the existing JP local chapter Liquid Chromatography <2.01> is added.	Introduction: last paragraph not stated. Sections on General Procedures and Chromatographic columns
R_{Fi} used instead of t_{Rst} and t_{Ri} .	Resolution: definition of baseline separation	are included
Relative retention time, RRT: not stipulated as synonym of relative retention System suitability: guidance of the way to determine the	System suitability: the sentence in the sign-off text 'The following requirements are to be fulfilled, in addition to any other system suitability criteria stated in	System suitability: number of injections depending on the RSD requirement. Adjustment of chromatographic conditions
S/N ratio (solution to be used)	the monograph' will be replaced by 'When the following criteria are specified in the system	 LC mobile phase: examples of binary and ternary mixtures added; LC column dimensions (gradient

PD. Km J.g.

suitability tests, each requirement is to be fulfilled unless otherwise prescribed.'

Adjustment of chromatographic conditions: paragraph added excluding adjustment for certain tests for biotechnological/biological products such as peptide mapping, glycosylation analysis of glycoprotein, and molecular heterogeneity (LC separation pattern specified as a profile); sentence added excluding adjustment for crude drugs and related drugs.

Adjustment of the flow rate after adjustment of the column dimensions (isocratic and gradient LC): read 'the flow rate **may** require adjustment' and 'The flow rate **can be** adjusted for both the change....'

elution): different wording for TPP to SPP requirements

Other considerations – detector response: different wording included

European Pharmacopoeia

Signature

Name

Date

A Dim

Petra Derr

28 Sep 2021

Japanese Pharmacopoeia

Signature

Name

Data

Yukihiro Goda for Y. Yoshida

Yukihiro Goda

9 Sep. /2021

United States Pharmacopoeia

Signature

Name

Date

5LT Mu

ICEVIN MOUNCE

23-568-2021

Sign-off CP: EP
September 2021

G-20 CHROMATOGRAPHY

INTRODUCTION

Chromatographic separation techniques are multi-stage separation procedures in which the components of a sample are distributed between 2 phases, one of which is stationary, while the other is mobile. The stationary phase may be a solid or a liquid supported on a solid or a gel. The stationary phase may be packed in a column, spread as a layer, or distributed as a film, etc. The mobile phase may be gaseous or liquid. The separation may be based on adsorption, mass distribution (partition), ion exchange, etc., or may be based on differences in the physicochemical properties of the molecules such as size, mass, volume, etc.

This chapter contains definitions and calculations of common parameters and generally applicable requirements for system suitability. Principles of separation, apparatus and methods are given in the corresponding general chapters.

DEFINITIONS

The system suitability and acceptance criteria in monographs have been set using parameters as defined below. With some equipment, certain parameters, such as the signal-to-noise ratio and resolution, can be calculated using software provided by the manufacturer. It is the responsibility of the user to ensure that the calculation methods used in the software are equivalent to the requirements of the <Name> Pharmacopoeia and to make any necessary corrections if this is not the case.

Chromatogram

A graphical or other representation of detector response, effluent concentration or other quantity used as a measure of effluent concentration, versus time or volume. Idealised chromatograms are represented as a sequence of Gaussian peaks on a baseline (Figure 1).

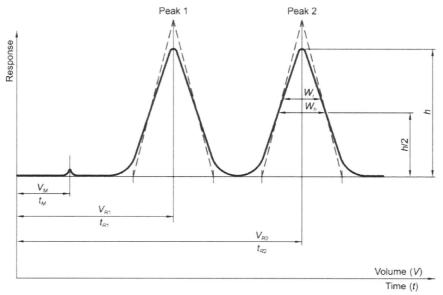


Figure 1

- $V_M = \text{hold-up volume};$
- $33 t_M = \text{hold-up time};$
- V_{RI} = retention volume of peak 1;
- t_{RI} = retention time of peak 1;

PD Km 4.9.

- 36 V_{R2} = retention volume of peak 2;
- t_{R2} = retention time of peak 2;
- 38 W_h = peak width at half-height;
- 39 W_i = peak width at the inflexion point;
- 40 h = height of the peak;
- 41 h/2 = half-height of the peak.

Distribution constant (K_0)

- In size-exclusion chromatography, the elution characteristics of a component in a particular
- column may be given by the distribution constant (also referred to as distribution coefficient),
- which is calculated using the following equation:

$$K_0 = \frac{t_R - t_0}{t_t - t_0}$$

47 48

- 49 $t_{\rm R}$ = retention time;
- t_0 = retention time of an unretained compound;
- $t_t = \text{total mobile phase time.}$

52 53

Dwell volume (D) (also referred to as V_D):

- The dwell volume (also known as gradient delay volume) is the volume between the point at
- which the eluents meet and the inlet of the column. It can be determined using the following
- 56 procedure.
- 57 Column: replace the chromatographic column by an appropriate capillary tubing (e.g.
- 58 1 m \times 0.12 mm).
- 59 Mobile phase:
- 60 mobile phase A: water;
- 61 *mobile phase B*: 0.1 per cent *V/V* solution of *acetone* in *water*;

Time (min)	Mobile phase A (per cent V/V)	Mobile phase B (per cent <i>V/V</i>)
0 – 20	100 → 0	$0 \rightarrow 100$
20 - 30	0	100

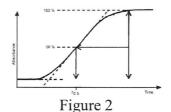
- 62 Flow rate: set to obtain sufficient back-pressure (e.g. 2 mL/min).
- 63 Detection: spectrophotometer at 265 nm.
- Determine the time $(t_{0.5})$ in minutes when the absorbance has increased by 50 per cent
- 65 (Figure 2).

$$D = t_D \times F$$

- 67 $t_D = t_{0.5} 0.5t_G$, in minutes;
- 68 t_G = pre-defined gradient time (= 20 min);
- F =flow rate, in millilitres per minute.

70

PD Km y.g.



Note: where applicable, this measurement is performed with the autosampler in the *inject* position so as to include the injection loop volume in the dwell volume.

747576

73

Hold-up time (t_M)

77 Time required for elution of an unretained component (Figure 1, baseline scale being in minutes or seconds).

In size-exclusion chromatography, the term retention time of an unretained compound (t_0) is used.

80 81 82

79

Hold-up volume (V_M)

Volume of the mobile phase required for elution of an unretained component. It may be

calculated from the hold-up time and the flow rate (F) in millilitres per minute using the

85 following equation:

86

$$V_M = t_M \times F$$

87 In size-exclusion chromatography, the term retention volume of an unretained compound (V_0)

is used.

89

90 Peak

Portion of a chromatogram recording the detector response when a single component (or 2 or

more unresolved components) is eluted from the column.

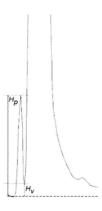
The peak response may be represented by the peak area or the peak height (h).

94 **Peak-to-valley ratio** (p/v)

The peak-to-valley ratio may be employed as a system suitability criterion when baseline

separation between two peaks is not achieved (Figure 3).

97



98

99

100

Figure 3

$$p/v = \frac{H_P}{H_V}$$

DO Km 4.9.

101 H_p = height above the extrapolated baseline of the minor peak;

 H_v = height above the extrapolated baseline at the lowest point of the curve separating the minor and major peaks.

104105

Plate height (H) (synonym: Height equivalent to one theoretical plate (HETP))

Ratio of the column length (L), in micrometers, to the plate number (N):

106107108

$$H = \frac{L}{N}$$

109 110

113

Plate number (N) (synonym: Number of theoretical plates)

111 A number indicative of column performance (column efficiency). It can only be calculated

from data obtained under either isothermal, isocratic or isodense conditions, depending on the

technique, as the plate number, using the following equation, the values of t_R and w_h being

114 expressed in the same units:

$$N = 5.54 \left(\frac{t_R}{w_h}\right)^2$$

115

 t_R = retention time of the peak corresponding to the component;

117 $w_h = \text{peak width at half-height } (h/2).$

118 119

116

The plate number varies with the component as well as with the column, the column temperature, the mobile phase and the retention time.

120 121 122

123

Reduced plate height (h)

Ratio of the plate height (H), in micrometers, to the particle diameter (d_p) in micrometers:

124125

$$h = \frac{H}{d_p}$$

126127

128

129

131

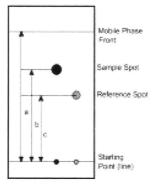
Relative retardation (R rel)

The relative retardation, used in thin-layer chromatography, is calculated as the ratio of the

distances travelled by the spot of the compound of interest and a reference compound

130 (Figure 4).

R rel = b/c



132

134

Figure 4

133 a = migration distance of the mobile phase;

b = migration distance of the compound of interest;

12. Km y.g.

c = migration distance of the reference compound.

136

137 Relative retention (r)

Relative retention is calculated as an estimate using the following equation:

$$\tau = \frac{t_R; -t_M}{t_{Rst} - t_M}$$

- 140 t_{Ri} = retention time of the peak of interest;
- t_{Rst} = retention time of the reference peak (usually the peak corresponding to the substance to
- be examined);
- $t_M = \text{hold-up time.}$

144

Relative retention, unadjusted (r_G) or RRT

146 Unadjusted relative retention is calculated using the following equation:

$$r_G = \frac{t_{Ri}}{t_{Rst}}$$

- 148 Unless otherwise indicated, values for relative retention stated in monographs correspond to
- unadjusted relative retention.
- 150 **Relative retention time (RRT)**: see Relative retention, unadjusted.
- 151 Resolution (R_s)
- 152 The resolution between peaks of 2 components (Figure 1) may be calculated using the
- 153 following equation:

$$R_s = \frac{1.18(t_{R2} - t_{R1})}{w_{h1} + w_{h2}}$$

- 155 $t_{R2} > t_{R1}$
- 156 t_{R1} , t_{R2} = retention times of the peaks;
- 157 w_{h1} , w_{h2} = peak widths at half-height.
- 158 In quantitative thin-layer chromatography, using densitometry, the migration distances are
- used instead of retention times and the resolution between peaks of 2 components may be
- 160 calculated using the following equation:

$$R_s = \frac{1.18a(R_{F2} - R_{F1})}{w_{h1} + w_{h2}}$$

- 162 $R_{F2} > R_{F1}$
- 163 R_{F1} , R_{F2} = retardation factors of the peaks;
- $164 w_{h1}, w_{h2} = \text{peak widths at half-height};$
- 165 a = migration distance of the solvent front.

166

167

DKTM Y.g.

168 Retardation factor (R_F)

- 169 The retardation factor, used in thin-layer chromatography, is the ratio of the distance from the
- 170 point of application to the centre of the spot and the distance simultaneously travelled by the
- 171 solvent from the point of application (Figure 4).

$$R_F = \frac{b}{a}$$

- 173 b = migration distance of the compound of interest;
- 174 a = migration distance of the solvent front.

175

172

176 Retention factor (k)

- 177 The retention factor (also known as mass distribution ratio (D_m) or capacity factor (k')) is
- 178 defined as:

179

$$k = \frac{\text{amount of component in stationary phase}}{\text{amount of component in mobile phase}} = K_C \frac{V_s}{V_{MC}}$$

181

- K_C = distribution constant (also known as equilibrium distribution coefficient); 182
- 183 V_S = volume of the stationary phase;
- 184 V_M = volume of the mobile phase.

185

- 186 The retention factor of a component may be determined from the chromatogram using the
- 187 following equation:

$$k = \frac{t_R - t_M}{t_M}$$

188

- 189 t_R = retention time;
- 190 t_M = hold-up time.

191

192 Retention time (t_R)

- 193 Time elapsed between the injection of the sample and the appearance of the maximum peak
- 194 response of the eluted sample zone (Figure 1, baseline scale being in minutes or seconds).

195

196 Retention volume (V_R)

- 197 Volume of the mobile phase required for elution of a compound. It may be calculated from
- 198 the retention time (t_R) and the flow rate (F) in millilitres per minute using the following
- 199 equation:

$$V_R = t_R \times F$$

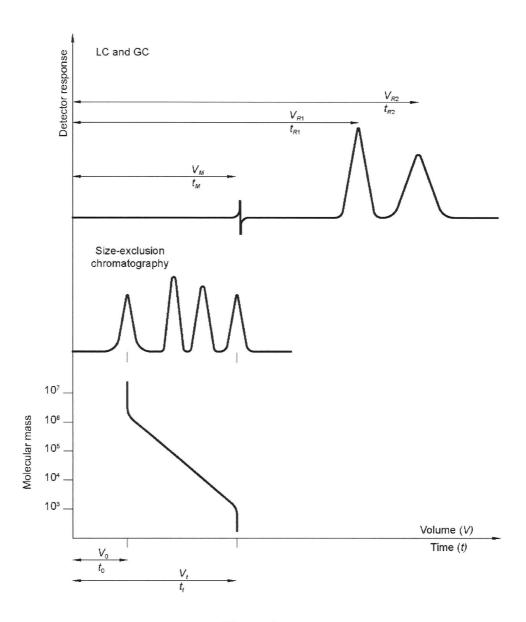
201 202

205

Retention time of an unretained compound (t_0)

- 203 In size-exclusion chromatography, retention time of a component whose molecules are larger
- 204 than the largest gel pores (Figure 5).

PD Km y.g.



207 208

209

211

212

213

215

Figure 5

210 Retention volume of an unretained compound (V_0)

In size-exclusion chromatography, retention volume of a component whose molecules are larger than the largest gel pores. It may be calculated from the retention time of an unretained compound (t_0) and the flow rate (F) in millilitres per minute using the following equation:

 $V_0 = t_0 \times F$

Separation factor (α)

216 Relative retention calculated for two adjacent peaks (by convention, the value of the separation factor is always > 1):

 $218 \qquad \qquad \alpha = k_2/k_1$

219 k_1 = retention factor of the first peak;

220 k_2 = retention factor of the second peak.

221

PD 1Cm 4.9.

222 Signal-to-noise ratio (S/N)

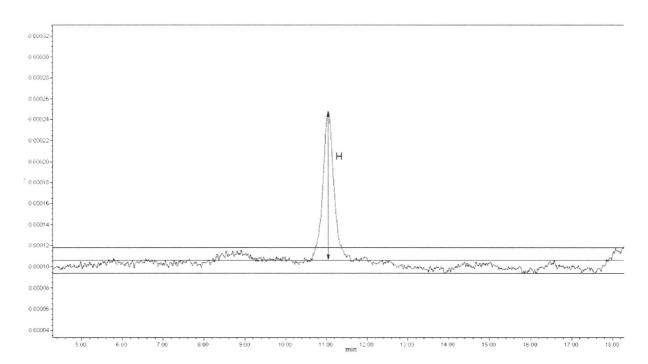
The short-term noise influences the precision and accuracy of quantitation. The signal-to-noise ratio is calculated using the following equation:

$$S/N = \frac{2H}{h}$$

225

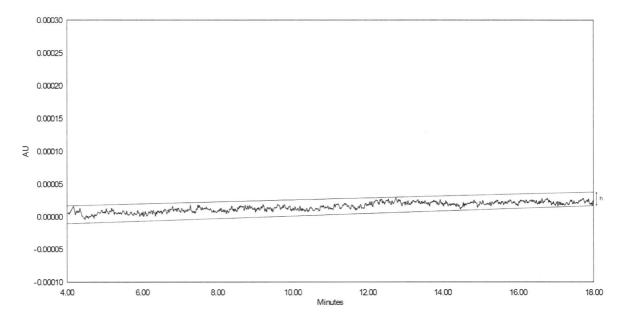
H = height of the peak (Figure 6) corresponding to the component concerned, in the chromatogram obtained with the prescribed reference solution, measured from the maximum of the peak to the extrapolated baseline of the signal observed over a distance equal to 20 times the width at half-height;

range of the noise in a chromatogram obtained after injection of a blank (Figure 7), observed over a distance equal to 20 times the width at half-height of the peak in the chromatogram obtained with the prescribed reference solution and, if possible, situated equally around the place where this peak would be found.



8

Figure 6. Chromatogram of the reference solution



229 230

Figure 7. Chromatogram of a blank

231232233

If a baseline of 20 times the width at half-height is not obtainable because of peaks due to the solvents or reagents, or arising from the mobile phase or the sample matrix, or due to the gas chromatographic temperature programme, a baseline of at least 5 times the width at half-height is permitted.

234235236

237 Symmetry factor (A_s)

The symmetry factor of a peak (also known as the asymmetry factor or tailing factor) (Figure 8)

is calculated using the following equation:

$$A_s = \frac{w_{0.05}}{2d}$$

240

241

242

 $w_{0.05}$ = width of the peak at one-twentieth of the peak height;

d = distance between the perpendicular dropped from the peak maximum and the leading edge of the peak at one-twentieth of the peak height.

243

244245

246

An A_s value of 1.0 signifies symmetry. When $A_s > 1.0$, the peak is tailing. When $A_s < 1.0$, the peak is fronting.

PD KTM Y.g.

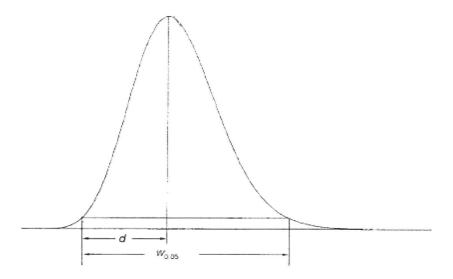


Figure 8

249 System repeatability

The repeatability of response is expressed as an estimated percentage relative standard deviation (%RSD) of a consecutive series of measurements for not fewer than 3 injections or applications of a reference solution, and is calculated using the following equation:

253
$$\% RSD = \frac{100}{\bar{y}} \sqrt{\frac{\sum (y_i - \bar{y})^2}{n - 1}}$$

254

- y_i = individual values expressed as peak area, peak height, or ratio of areas by the internal standardisation method;
- 257 \bar{y} = mean of individual values;
- n = number of individual values.

259

Total mobile phase time (t_t)

In size-exclusion chromatography, retention time of a component whose molecules are smaller than the smallest gel pores (Figure 5).

263264

Total mobile phase volume (V_i)

In size-exclusion chromatography, retention volume of a component whose molecules are smaller than the smallest gel pores. It may be calculated from the total mobile phase time and the flow rate (*F*) in millilitres per minute using the following equation:

268 269 270

$$V_t = t_t \times F$$

SYSTEM SUITABILITY

- 271 This section only covers liquid chromatography and gas chromatography.
- The various components of the equipment employed must be qualified and be capable of achieving the performance required to conduct the test or assay.

PD Kom Y.g.

Sign-off CP: EP
September 2021

274 The system suitability tests represent an integral part of the analytical procedure and are used

- 275 to ensure adequate performance of the chromatographic system. Column plate number,
- 276 retention factor (mass distribution ratio), system repeatability, signal-to-noise, symmetry factor
- and resolution/peak-to-valley ratio are the parameters that may be employed in assessing the
- 278 performance of the chromatographic system. When stated in the individual monograph, in cases
- of complex chromatographic profiles (e.g., for biotechnological/biological products), visual
- comparison of the profiles can be used as a system suitability test.
- Factors that may affect the chromatographic behaviour include:
- 282 composition and temperature of the mobile phase;
- 283 ionic strength and pH of the aqueous component of the mobile phase;
- 284 flow rate, column dimensions, column temperature and pressure;
- 285 stationary phase characteristics including type of chromatographic support (particle-based or monolithic), particle or pore size, porosity, specific surface area;
- 287 reversed phase and other surface-modification of the stationary phases, the extent of
- chemical modification (as expressed by end-capping, carbon loading etc.).
- 289 Retention times and relative retentions may be provided in monographs for information
- 290 purposes only, unless otherwise stated in the monograph. There are no acceptance criteria
- applied to relative retentions.
- 292 Compliance with the system suitability criteria is required throughout the chromatographic
- 293 procedure. No sample analysis is acceptable unless the suitability of the system has been
- 294 demonstrated.
- 295 The following requirements are to be fulfilled, in addition to any other system suitability
- criteria stated in the monograph. When specific requirements are stated in the monograph,
- 297 they supersede the requirements mentioned in this chapter:
- System repeatability assay of an active substance or an excipient 299
- In an assay of an active substance or an excipient, where the target value is 100 per cent for a
- 301 pure substance, and a system repeatability requirement is not specified, the maximum
- permitted relative standard deviation (%RSD_{max}) for the defined limits is calculated for a
- series (n = 3 to 6) of injections of the reference solution. The maximum permitted relative
- 304 standard deviation of the peak response does not exceed the appropriate value given in
- 305 Table 1.

306
$$\% RSD_{max} = \frac{KB\sqrt{n}}{t_{90\%,n-1}}$$

- 307 K = constant (0.349), obtained from the expression $K = \frac{0.6}{\sqrt{2}} \times \frac{\frac{4.90 \times 5}{\sqrt{6}}}{\sqrt{6}}$ in which $\frac{0.6}{\sqrt{2}}$ represents the
- required percentage relative standard deviation determined on 6 injections for B = 1.0;
- B = upper limit given in the definition of the individual monograph minus 100 per cent;
- 310 n = number of replicate injections of the reference solution $(3 \le n \le 6)$;
- 311 $t_{90\%,n-1}$ = Student's t at the 90 per cent probability level (double sided) with n-1 degrees of
- 312 freedom.

PD Km 4.9.

Table 1 – Maximum permitted relative standard deviation (assay)

	Number of individual injections n			
	3	4	5	6
B (per cent)	Maximum permitted relative standard deviation (per cent)			
2.0	0.41	0.59	0.73	0.85
2.5	0.52	0.74	0.92	1.06
3.0	0.62	0.89	1.10	1.27

314 B = upper limit of content given in the individual monograph minus 100 per cent.

315 316

317 318

System sensitivity

The signal-to-noise ratio is used to define the system sensitivity. The limit of quantitation (corresponding to a signal-to-noise ratio of 10) is equal to or less than the reporting threshold.

319 320 321

322

Peak symmetry

Unless otherwise stated, in a test or assay, the symmetry factor (tailing factor) of the peak used for quantitation is 0.8 to 1.8.

323 324 325

ADJUSTMENT OF CHROMATOGRAPHIC CONDITIONS

- 326 The chromatographic conditions described have been validated during the elaboration of the 327 monograph.
- 328 The extent to which the various parameters of a chromatographic test may be adjusted without
- 329 fundamentally modifying the pharmacopoeial analytical procedures are listed below. Changes 330 other than those indicated require revalidation of the procedure.

331 332

Multiple adjustments can have a cumulative effect on the performance of the system and are to 333 be properly evaluated by the users. This is particularly important in cases where the separation 334 pattern is described as a profile. In those cases, a risk assessment has to be carried out.

335 336

Any adjustments must be made on the basis of the pharmacopoeial procedure.

337

338 If adjustments are made to a pharmacopoeial procedure, additional verification tests may be 339 required. To verify the suitability of the adjusted pharmacopoeial procedure, assess the 340 relevant analytical performance characteristics potentially affected by the change.

341

- 342 When a pharmacopoeial analytical procedure has been adjusted according to the requirements 343 stated below, no further adjustments are allowed without appropriate revalidation.
- 344 Compliance with the system suitability criteria is required to verify that conditions for 345 satisfactory performance of the test or assay are achieved.
- 346 Adjustment of conditions with gradient elution (HPLC) or temperature programming (GC) is 347 more critical than with isocratic (HPLC) or isothermal (GC) elution, since it may shift some 348 peaks to a different step of the gradient or to different elution temperatures, potentially causing

RD Km Y.g.

Sign-off

CP: EP September 2021

- partial or complete coelution of adjacent peaks or peak inversion, and thus leading to the
- incorrect assignment of peaks, and to the masking of peaks or a shift such that elution occurs
- beyond the prescribed elution time.
- For some parameters, the adjustments are explicitly defined in the monograph to ensure the
- 353 system suitability.

354355

Thin-layer chromatography

- 356 Composition of the mobile phase: the amount of the minor solvent components may be adjusted
- by \pm 30 per cent relative or \pm 2 per cent absolute, whichever is the larger; no other component
- is altered by more than 10 per cent absolute. A minor component comprises less than or equal
- to (100/n) per cent, n being the total number of components of the mobile phase. For a minor
- 360 component at 10 per cent of the mobile phase, a 30 per cent relative adjustment allows a range
- of 7-13 per cent whereas a 2 per cent absolute adjustment allows a range of 8-12 per cent, the
- 362 relative value therefore being the larger; for a minor component at 5 per cent of the mobile
- phase, a 30 per cent relative adjustment allows a range of 3.5-6.5 per cent whereas a 2 per cent
- absolute adjustment allows a range of 3-7 per cent, the absolute value being the larger in this
- 365 case.
- 366 pH of the aqueous component of the mobile phase: \pm 0.2 pH units, unless otherwise prescribed.
- Concentration of salts in the buffer component of a mobile phase: \pm 10 per cent.
- 368 Application volume: 10-20 per cent of the prescribed volume if using fine particle size plates
- $369 \quad (2-10 \, \mu m).$

Liquid chromatography: isocratic elution

371 Column parameters and flow rate

> Stationary phase: no change of the identity of the substituent (e.g. no replacement of C18 by C8); the other physico-chemical characteristics of the stationary phase, i.e. chromatographic support, surface modification and extent of chemical modification must be similar; a change from Totally Porous Particle (TPP) columns to Superficially Porous Particle (SPP) columns is allowed provided the above-mentioned requirements are met.

377378379

380

381

382

383

384

385

386 387

370

372

373374

375

376

- \triangleright Column dimensions (particle size, length): the particle size and/or length of the column may be modified provided that the ratio of the column length (L) to the particle size (dp) remains constant or in the range between 25 per cent to + 50 per cent of the prescribed L/dp ratio.
- Adjustments from totally porous to superficially porous particles: for the application of particle-size adjustment from totally porous to superficially porous particles, other combinations of L and dp can be used provided that the plate number (N) is within -25 per cent to +50 per cent, relative to the prescribed column

These changes are acceptable provided the system suitability criteria are fulfilled, and selectivity and elution order of the specified impurities to be controlled are demonstrated to be equivalent.

391392

393

> Internal diameter: in absence of a change in particle size and/or length, the internal diameter of the column may be adjusted.

PD Km y.g.

Sign-off CP: EP
September 2021

Caution is necessary when the adjustment results in smaller peak volumes, due to a smaller particle size or a smaller internal diameter, a situation which may require adjustments to

minimize extra-column band broadening by factors such as instrument connections, detector cell volume and sampling rate, and injection volume.

398 399

400

401

When the particle size is changed, the flow rate requires adjustment, because smaller-particle columns will require higher linear velocities for the same performance (as measured by reduced plate height). The flow rate is adjusted for both the change in column diameter and particle size using the following equation:

402 403

404 $F_2 = F_1 \times \left[\frac{(dc_2^2 \times dp_1)}{(dc_1^2 \times dp_2)} \right]$

 F_1 = flow rate indicated in the monograph, in millilitres per minute;

 F_2 = adjusted flow rate, in millilitres per minute;

- dc_1 = internal diameter of the column indicated in the monograph, in millimetres;
- dc_2 = internal diameter of the column used, in millimetres;
- dp_1 = particle size indicated in the monograph, in micrometres;
- dp_2 = particle size of the column used, in micrometres.

409

- When a change is made from \geq 3- μ m to < 3- μ m particles in isocratic separations, an
- additional increase in linear velocity (by adjusting the flow rate) may be justified, provided
- 412 that the column performance does not drop by more than 20 per cent. Similarly, when a
- change is made from $< 3-\mu m$ to $\ge 3-\mu m$ particles, an additional reduction of linear velocity
- 414 (flow rate) may be justified to avoid reduction in column performance by more than 20 per
- 415 cent.

416

424

435

- After an adjustment due to a change in column dimensions, an additional change in flow rate of \pm 50 per cent is permitted.
- *Column temperature*: ± 10 °C, where the operating temperature is specified, unless otherwise prescribed.
- Further adjustments in analytical procedure conditions (mobile phase, temperature, pH, etc.)
- may be required, within the permitted ranges described under System Suitability and
- 423 Adjustment of chromatographic conditions in this chapter.

Mobile phase

- 425 \nearrow Composition: the amount of the minor solvent components may be adjusted by \pm 30 per cent relative (see examples under Thin-layer chromatography); no component is altered by more than 10 per cent absolute. A minor component comprises less than or equal to (100/n) per cent, n being the total number of components of the mobile phase;
- 430 \triangleright pH of the aqueous component of the mobile phase: \pm 0.2 pH units, unless otherwise prescribed;
- *Concentration of salts in the buffer component of a mobile phase*: \pm 10 per cent;
- 433 \triangleright Flow rate: in absence of a change in column dimensions, an adjustment of the flow rate by \pm 50 per cent is permitted.
 - **Detector wavelength**: no adjustment permitted.

D) 1cm y.g.

480

- 436 *Injection volume*: when the column dimensions are changed, the following equation may 437 be used for adjusting the injection volume: $V_{\text{ini2}} = V_{\text{ini1}} (L_2 d_{c2}^2) / (L_1 d_{c1}^2)$ 438 439 440 $V_{\text{inj}1}$ = injection volume indicated in the monograph, in microlitres; 441 $V_{\rm inj2}$ = adjusted injection volume, in microlitres; 442 L_1 = column length indicated in the monograph, in millimetres; 443 L_2 = new column length, in millimetres; 444 d_{c1} = column internal diameter indicated in the monograph, in millimetres; d_{c2} = new column internal diameter, in millimetres. 445 446 This equation may not be applicable to changes from TPP columns to SPP columns. 447 Even in the absence of any column dimension change, the injection volume may be varied 448 449 provided System Suitability criteria remain within their established acceptability limits. When 450 the injection volume is decreased, special attention is given to (limit of) detection and 451 repeatability of the peak response(s) to be determined. An increase is permitted provided, in 452 particular, linearity and resolution of the peak(s) to be determined remain satisfactory. 453 Liquid chromatography: gradient elution 454 Adjustment of chromatographic conditions for gradient systems requires greater caution than 455 for isocratic systems. 456 Column parameters and flow rate 457 > Stationary phase: no change of the identity of the substituent (e.g. no replacement of 458 C18 by C8); the other physico-chemical characteristics of the stationary phase, i.e. 459 chromatographic support, surface modification and extent of chemical modification 460 must be similar; a change from Totally Porous Particle (TPP) columns to 461 Superficially Porous Particle (SPP) columns is allowed provided the above-462 mentioned requirements are met. 463 464 Column dimensions (particle size, length): the particle size and/or length of the 465 column may be modified provided that the ratio of the column length (L) to the 466 particle size (dp) remains constant or in the range between -25 per cent to +50 per cent of the prescribed L/dp ratio. 467 468 Adjustments from totally porous to superficially porous particles: for the application of particle-size adjustment from totally porous to superficially porous particles. 469 470 other combinations of L and dp can be used provided that the ratio $(t_R/w_h)^2$ is within 471 -25 per cent to +50 per cent, relative to the prescribed column, for each peak used 472 to check the system suitability, as stated in this chapter and the individual monograph. 473 474 These changes are acceptable provided system suitability criteria are fulfilled, and 475 selectivity and elution order of the specified impurities to be controlled are demonstrated to be equivalent. 476 477 Internal diameter: in absence of a change in particle size and/or length, the internal 478 diameter of the column may be adjusted.
 - Caution is necessary when the adjustment results in smaller peak volumes, due to a smaller particle size or a smaller internal diameter, a situation which may require adjustments to

R) Km 4.9.

Sign-off CP: EP
September 2021

minimize extra-column band broadening by factors such as instrument connections, detector cell volume and sampling rate, and injection volume.

When the particle size is changed, the flow rate requires adjustment, because smaller-particle columns will require higher linear velocities for the same performance (as measured by reduced plate height). The flow rate is adjusted for both the change in column diameter and particle size using the following equation:

$$F_2 = F_1 \times [(dc_2^2 \times dp_1)/(dc_1^2 \times dp_2)]$$

- F_1 = flow rate indicated in the monograph, in millilitres per minute;
- F_2 = adjusted flow rate, in millilitres per minute;
- dc_1 = internal diameter of the column indicated in the monograph, in millimetres;
- dc_2 = internal diameter of the column used, in millimetres;
- dp_1 = particle size indicated in the monograph, in micrometres;
 - dp_2 . = particle size of the column used, in micrometres.

498 A ch 499 whic

A change in column dimensions, and thus in column volume, impacts the gradient volume which controls selectivity. Gradients are adjusted to the column volume by changing the gradient volume in proportion to the column volume. This applies to every gradient segment volume. Since the gradient volume is the gradient time, t_G , multiplied by the flow rate, F, the gradient time for each gradient segment needs to be adjusted to maintain a constant ratio of the gradient volume to the column volume (expressed as $L \times dc^2$). Thus, the new gradient time, t_{G2} can be calculated from the original gradient time, t_{G1} , the flow rate(s), and the column dimensions as follows:

$$t_{G2} = t_{G1} \times (F_1 / F_2) [(L_2 \times dc_2^2) / (L_1 \times dc_1^2)]$$

- Thus, the change in conditions for gradient elution requires three steps:
- 510 (1) adjust the column length and particle size according to L/dp,
- 511 (2) adjust the flow rate for changes in particle size and column diameter, and
- 512 (3) adjust the gradient time of each segment for changes in column length, diameter and flow rate. The example below illustrates this process.

PD Km 4.9.

515 Table 2

Variable	Original	Adjusted	Comment
	Conditions	Conditions	
Column length (L) in mm	150	100	User's choice
Column diameter (dc) in	4.6	2.1	User's choice
mm			
Particle size (dp) in μ m	5	3	User's choice
L/dp	30.0	33.3	(1)
Flow rate in mL/min	2.0	0.7	(2)
Gradient adjustment factor		0.4	(3)
$(t_{\mathrm{G2}}/t_{\mathrm{G1}})$			
Gradient conditions			
B (per cent)	Time (min)	Time (min)	
30	0	0	
30	3	(3x0.4)=1.2	
70	13	[1.2+(10x0.4)]=5.2	
30	16	[5.2+(3x0.4)]=6.4	

516 517

- (1) 11 per cent increase within allowed L/dp change of -25 per cent to +50 per cent
- (2) calculated using $F_2 = F_1 \left[(dc_2^2 \times dp_1) / (dc_1^2 \times dp_2) \right]$ 518
- (3) calculated using $t_{G2} = t_{G1} \times (F_1 / F_2) [(L_2 \times dc_2^2) / (L_1 \times dc_1^2)]$ 519

520 521

 \triangleright Column temperature: \pm 5 °C, where the operating temperature is specified, unless otherwise prescribed.

522

- Further adjustments in analytical procedure conditions (mobile phase, temperature, pH, etc.) 523 524 may be required, within the permitted ranges described under System Suitability and
- Adjustment of Chromatographic Conditions in this chapter. 525

Mobile phase

527 528

526

- > Composition/gradient: adjustments of the composition of the mobile phase and the gradient are acceptable provided that:
- 529
- the system suitability criteria are fulfilled;

530 531

- the principal peak(s) elute(s) within \pm 15 per cent of the retention time(s) obtained with the original conditions; this requirement does not apply when the column dimensions are changed;

532 533

- the composition of the mobile phase and the gradient are such that the first peaks are sufficiently retained and the last peaks are eluted.

534

 \triangleright pH of the aqueous component of the mobile phase: \pm 0.2 pH units, unless otherwise prescribed.

535 536

 \triangleright Concentration of salts in the buffer component of a mobile phase: \pm 10 per cent.

537

- 538
- Where compliance with the system suitability criteria cannot be achieved, it is often preferable to consider the dwell volume or to change the column.
- 539
- 540 **Dwell volume.** The configuration of the equipment employed may significantly alter the
- 541 resolution, retention time and relative retentions described. Should this occur, it may be due to
- 542 a change in dwell volume. Monographs preferably include an isocratic step before the start of

DICTO Y.G.

Sign-off

CP: EP September 2021

543 the gradient programme so that an adaptation can be made to the gradient time points to take 544 account of differences in dwell volume between the system used for analytical procedure 545 development and that actually used. It is the user's responsibility to adapt the length of the 546 isocratic step to the analytical equipment used. If the dwell volume used during the elaboration of the monograph is given in the monograph, the time points (t min) stated in the 547 548 gradient table may be replaced by adapted time points (t_c min), calculated using the following 549 equation: $t_c = t - \frac{(D - D_0)}{F}$ 550 551 552 D =dwell volume, in millilitres; D_0 = dwell volume used for development of the analytical procedure, in millilitres; 553 554 F = flow rate, in millilitres per minute. 555 The isocratic step introduced for this purpose may be omitted if validation data for application of the analytical procedure without this step is available. 556 557 **Detector wavelength**: no adjustment permitted. 558 *Injection volume*: when the column dimensions are changed, the following equation may 559 be used for adjusting the injection volume: $V_{ini2} = V_{ini1} (L_2 d_{c2}^2) / (L_1 d_{c1}^2)$ 560 561 $V_{\text{inj}1}$ = injection volume indicated in the monograph, in microlitres; 562 $V_{\rm inj2}$ = adjusted injection volume, in microliters; 563 564 L_1 = column length indicated in the monograph, in millimetres; 565 L_2 = new column length, in millimetres; d_{c1} = column internal diameter indicated in the monograph, in millimetres; 566 567 d_{c2} = new column internal diameter, in millimetres. 568 This equation may not be applicable to changes from TPP columns to SPP columns. 569 Even in the absence of any column dimension change, the injection volume may be varied 570 provided system suitability criteria remain within their established acceptability limits. When the injection volume is decreased, special attention is given to (limit of) detection and 571 repeatability of the peak response(s) to be determined. An increase is permitted provided, in 572 573 particular, linearity and resolution of the peak(s) to be determined remain satisfactory 574 575 Gas chromatography 576 Column parameters > Stationary phase: 577 - particle size: maximum reduction of 50 per cent; no increase permitted (packed 578 579 580 - film thickness: - 50 per cent to + 100 per cent (capillary columns); 581 > Column dimensions: 582 - length: - 70 per cent to + 100 per cent; 583 584 - internal diameter: \pm 50 per cent;

Sign-off CP: EP

September 2021 585 586 \triangleright Column temperature: \pm 10 per cent; 587 > Temperature programme: adjustment of temperatures is permitted as stated above; 588 adjustment of ramp rates and hold times of up to \pm 20 per cent is permitted. 589 *Flow rate*: \pm 50 per cent. 590 The above changes are acceptable provided system suitability criteria are fulfilled, and 591 selectivity and elution order of the specified impurities to be controlled are demonstrated to be 592 equivalent. 593 *Injection volume and split ratio*: may be varied provided system suitability criteria remain 594 within their established acceptability limits. When the injection volume is decreased, or the 595 split ratio is increased, special attention is given to (limit of) detection and repeatability of the 596 peak response(s) to be determined. An increase in injection volume or a decrease in split ratio 597 is permitted provided, in particular, linearity and resolution of the peak(s) to be determined 598 remain satisfactory. 599 Injection port temperature and transfer-line temperature in static head-space conditions: 600 ± 10 °C, provided no decomposition or condensation occurs. 601 602 603 **QUANTITATION** 604 605 The following quantitation approaches may be used in general texts or monographs: 606 607 — External standard method. 608 using a calibration function Standard solutions with several graded amounts of a reference standard of the compound to be 609 610 analysed are prepared in a range that has been demonstrated to give a linear response, and a 611 fixed volume of these standard solutions is injected. With the chromatograms obtained, a calibration function is prepared by plotting the peak areas or peak heights on the ordinate 612 613 against the amount of reference standard on the abscissa. The calibration function is generally 614 obtained by linear regression. Then, a sample solution is prepared according to the procedure specified in the individual monograph. The chromatography is performed under the same 615 616 operating conditions as for the preparation of the calibration function, the peak area or peak 617 height of the compound to be analysed is measured, and the amount of the compound is read 618 out or calculated from the calibration function. 619 using one-point calibration 620 In an individual monograph, generally one of the standard solutions with a concentration 621 within the linear range of the calibration function and a sample solution with a concentration 622 close to that of the standard solution are prepared, and the chromatography is performed under 623 fixed conditions to obtain the amount of the compound by comparing the responses obtained.

626 — Internal standard method.

conditions.

624

625

• using a calibration function

In the internal standard method, a stable compound is chosen as an internal standard which shows a retention time close to that of the compound to be analysed, and whose peak is well

In this method, all procedures, such as the injection, must be carried out under constant

630 separated from all other peaks in the chromatogram. Several standard solutions containing a 631 fixed amount of the internal standard and graded amounts of a reference standard of the 632 compound to be analysed are prepared. Based on the chromatograms obtained by injection of 633 a fixed volume of individual standard solutions, the ratio of peak area or peak height of the 634 reference standard to that of the internal standard is calculated. A calibration function by 635 plotting these ratios on the ordinate against the amount of the reference standard or the ratio of the amount of reference standard to that of the internal standard on the abscissa is prepared. 636 637 The calibration function is generally obtained by linear regression. Then, a sample solution containing the internal standard in the same amount as in the standard solutions used for the 638 639 preparation of the calibration function is prepared according to the procedure specified in the 640 individual monograph. The chromatography is performed under the same operating conditions 641 as for the preparation of the calibration function. The ratio of the peak area or peak height of 642 the compound to be analysed to that of the internal standard is calculated, and the amount of 643 the compound is read out or calculated from the calibration function.

644 645

646 647

648

649

650

651

652

653

654

655

using one point calibration

In an individual monograph, generally one of the standard solutions with a concentration within the linear range of the calibration function and a sample solution with a concentration close to that of the standard solution, both containing a fixed amount of the internal standard, are prepared, and the chromatography is performed under fixed conditions to determine the amount of the compound to be analysed by comparing the ratios obtained.

— Normalisation procedure. Provided linearity of the peaks has been demonstrated, individual monographs may prescribe that the percentage content of a component of the substance to be examined is calculated by determining the area of the corresponding peak as a percentage of the total area of all the peaks, excluding those due to solvents or reagents or arising from the mobile phase or the sample matrix, and those at or below the disregard limit or reporting threshold.

656 657 658

659

OTHER CONSIDERATIONS

Detector response

660 The detector sensitivity is the signal output per unit concentration or unit mass of a substance in the mobile phase entering the detector. The relative detector response factor, commonly 661 referred to as *response factor*, expresses the sensitivity of a detector for a given substance 662 663 relative to a standard substance. The *correction factor* is the reciprocal of the response factor. 664 In tests for related substances any correction factors indicated in the monograph are applied (i.e. when the *response factor* is outside the range 0.8-1.2). 665

666 Interfering peaks

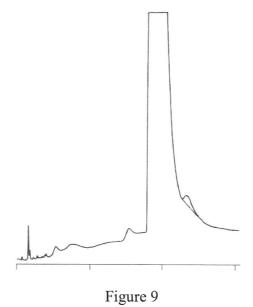
667 Peaks due to solvents and reagents or arising from the mobile phase or the sample matrix are 668 disregarded.

669 670

Measurement of peaks

- 671 Integration of the peak area of any impurity that is not completely separated from the
- 672 principal peak is preferably performed by tangential skim (Figure 9).

19 Km 4-9.



675

676 677

Reporting threshold.

- When the related substances test prescribes a limit for the total of impurities or a quantitative determination of an impurity, it is important to choose an appropriate *reporting threshold* and appropriate conditions for the integration of the peak areas.
- In such tests the reporting threshold, i.e. the limit above which a peak is reported, is generally 0.05 per cent.