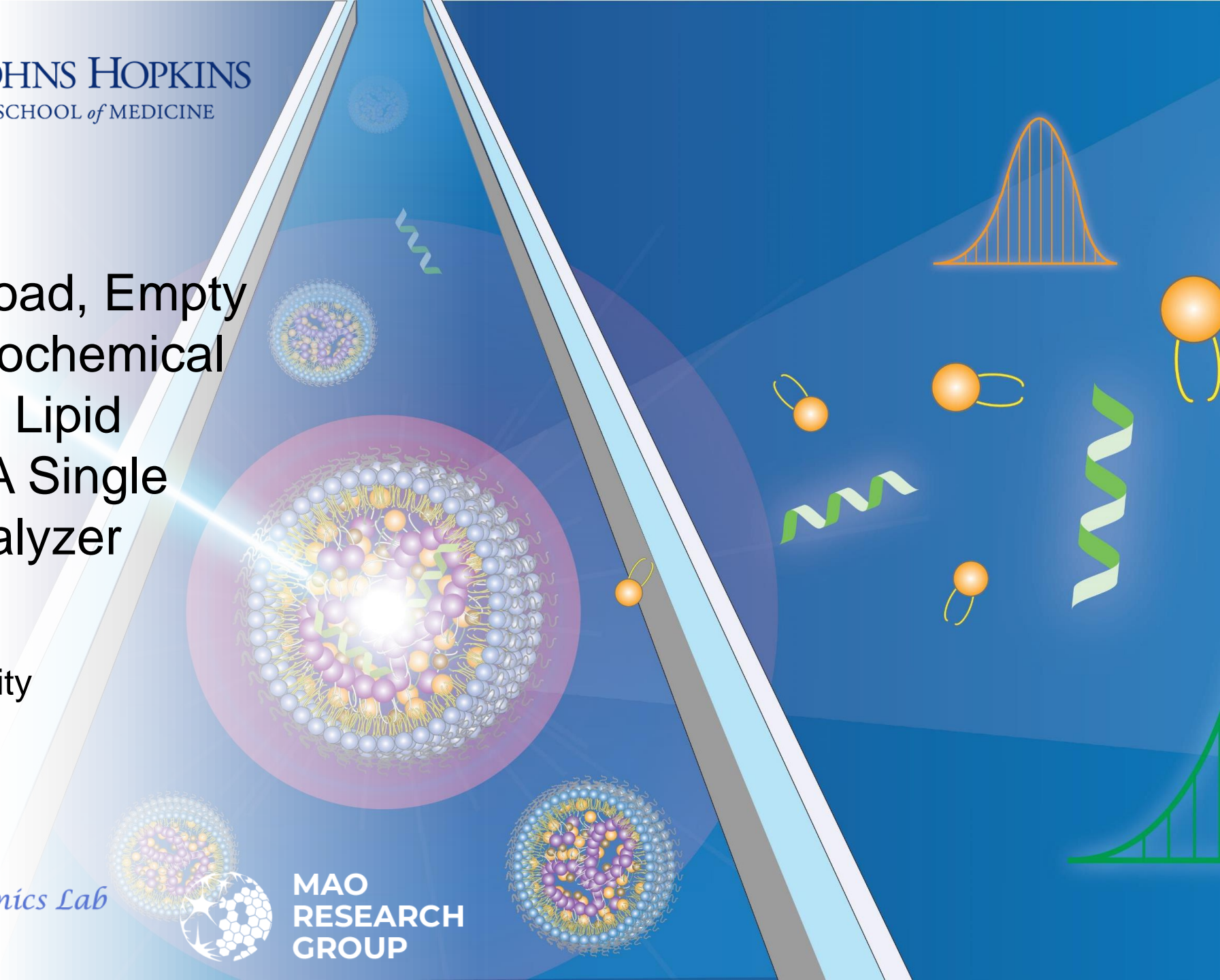
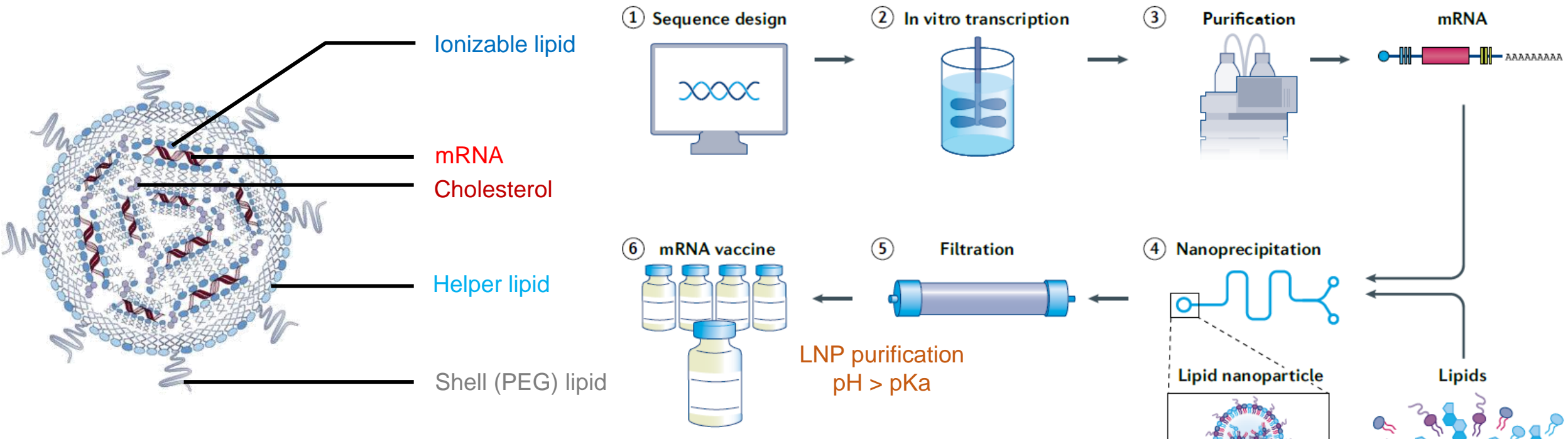


# Uncovering RNA Payload, Empty Particles, and Physicochemical Heterogeneity of Lipid Nanoparticles via A Single Nanoparticle Analyzer

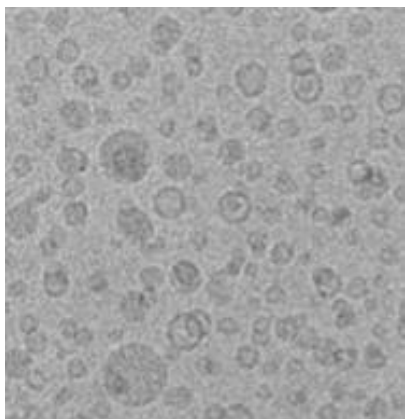
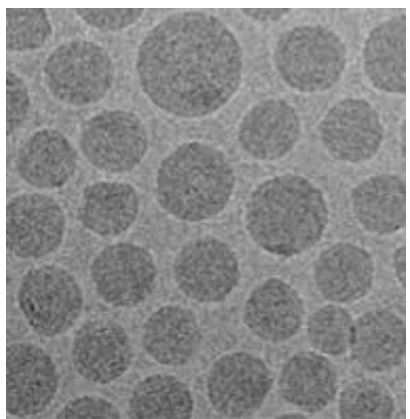
Sixuan Li, PhD  
Johns Hopkins University  
USP mRNA forum  
2024.02.29



# mRNA lipid nanoparticle (LNP) formulation process



After filtration, pH 7.4      Before filtration, pH < pKa

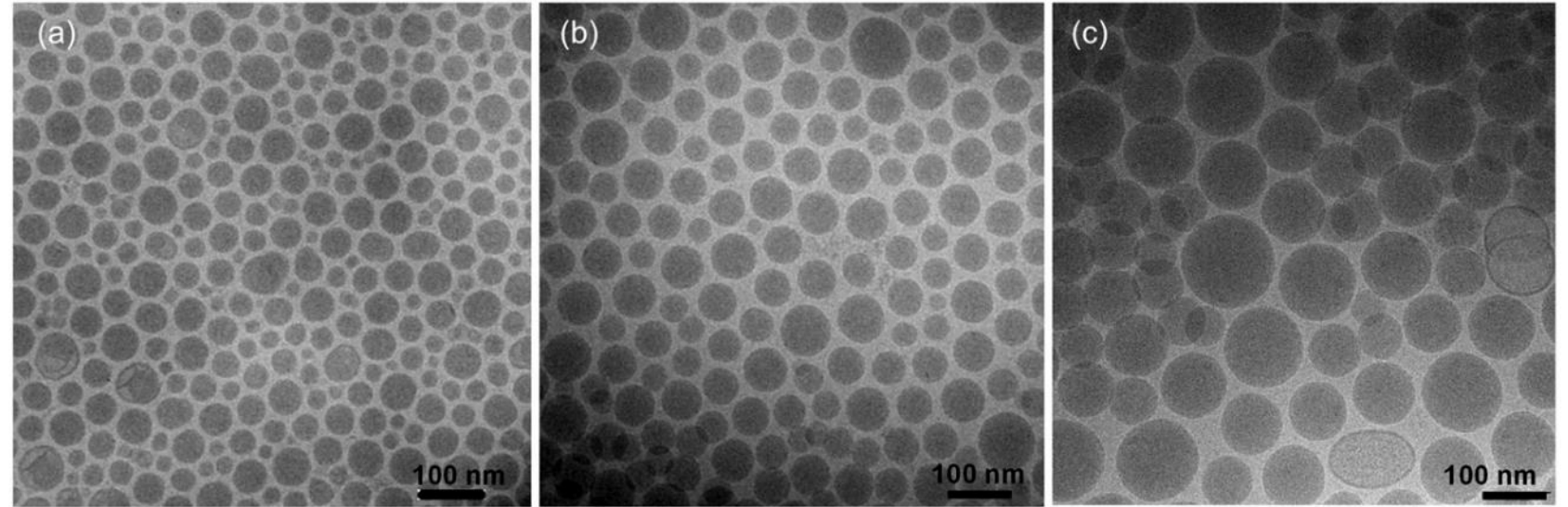
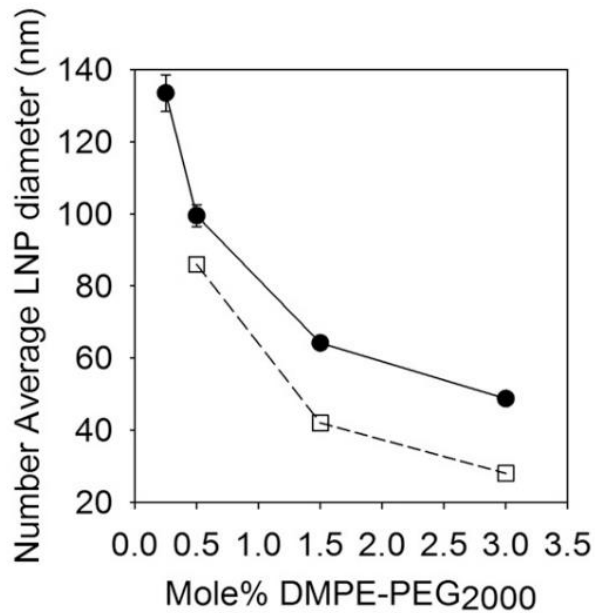


LNP assembly  
pH < pKa

2021, *Nature Reviews Drug Discovery*, 20, 817-838

# mRNA LNP properties to be characterized

Decreasing PEG lipid dosage →

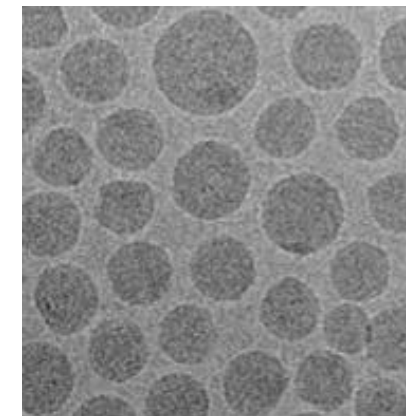


2018, *PNAS*, 115, E3351-E3360

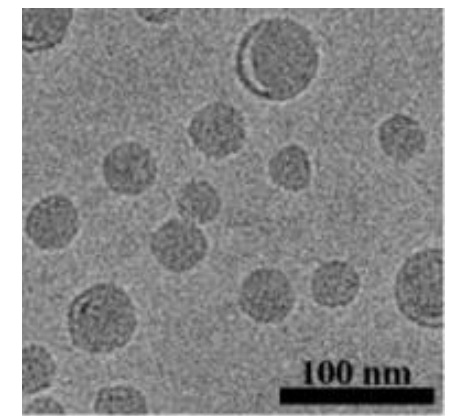
## Payload distribution and capacity of mRNA LNPs

- How does LNP size correlate to the mRNA payload?
- How are mRNAs distributed in LNP sample?
- How many mRNAs can be loaded into single LNP?
- Is every LNP loaded with mRNA? Empty LNP exist?
- What effect does empty LNP have on delivery and transfection?

After filtration, pH 7.4

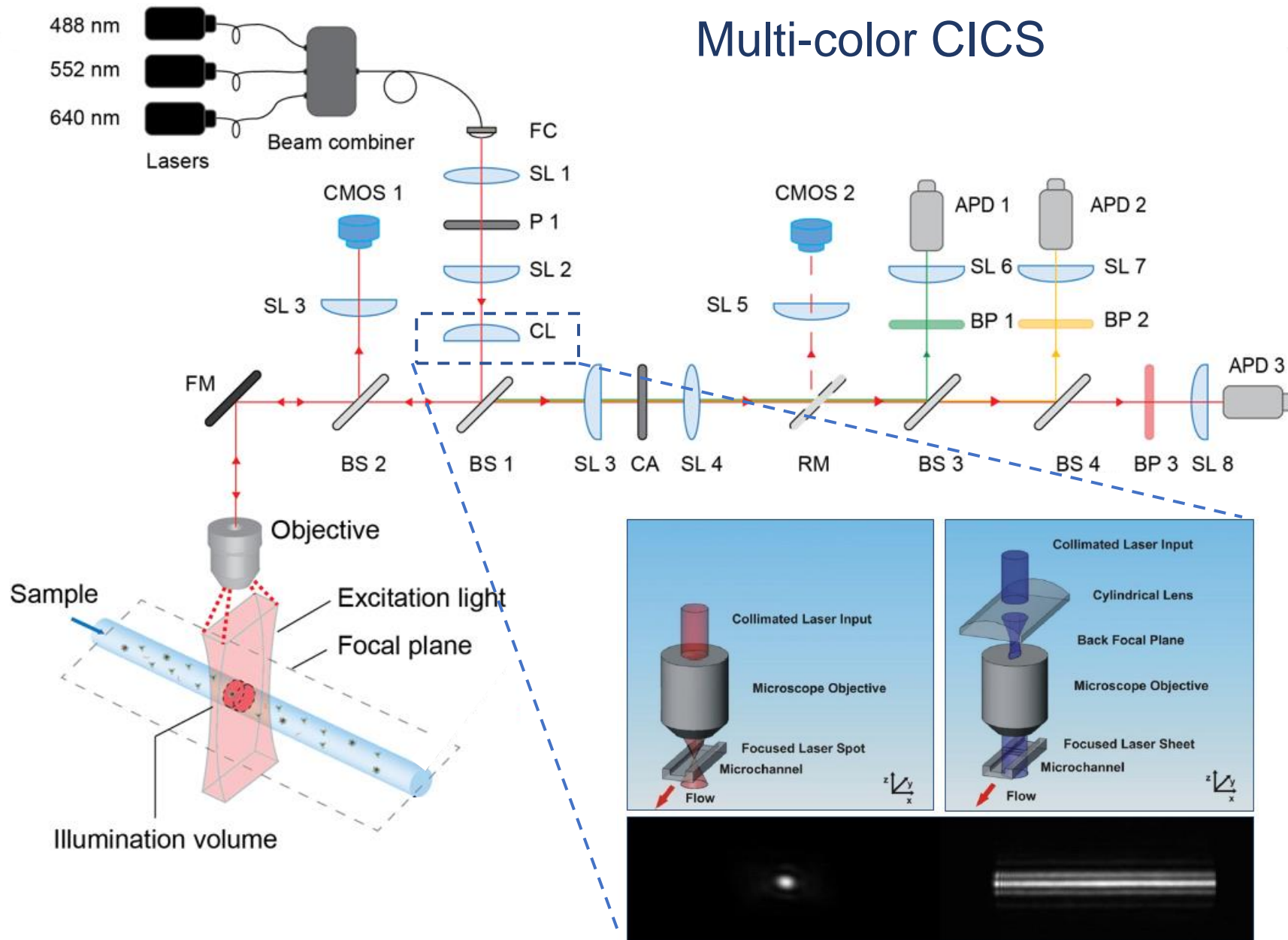


Formulated without nucleic acid, pH 7.4



2018, *ACS Nano*, 12, 4787-4795

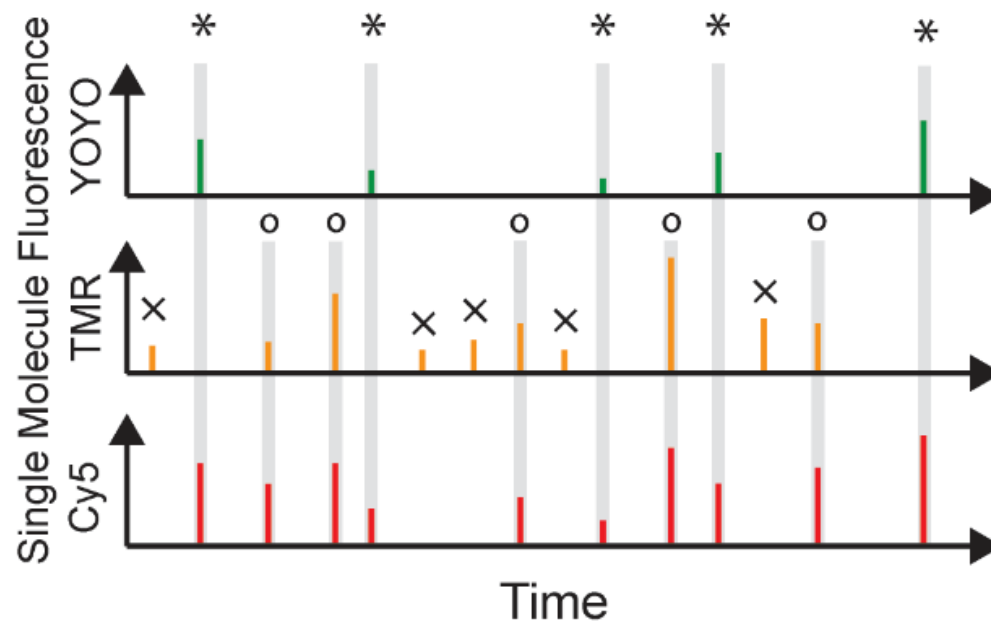
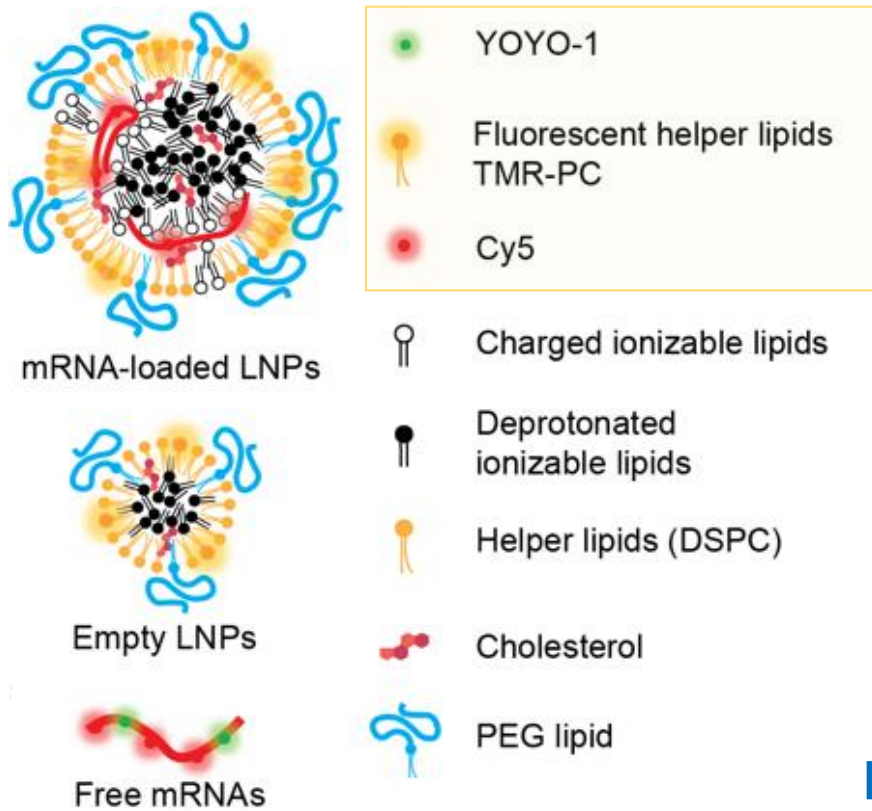
# Cylindrical illumination confocal spectroscopy (CICS): a single molecule detection platform



## CICS single nanoparticle detection platform

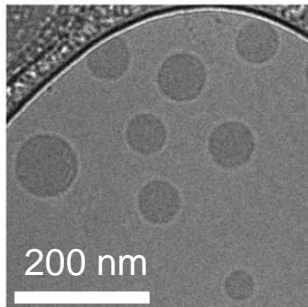
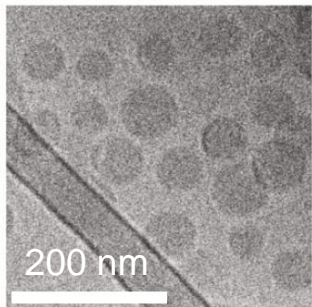
- Single molecule sensitivity
- Light sheet enables high mass detection efficiency and fluorescence uniformity
- High throughput (up to 6000 particles/min)
- Tiny sample consumption (<1 uL per sample)

# mRNA LNP subpopulation identification by 3-color fluorescence coincidence analysis



## Multi-color fluorescence coincidence analysis of mRNA LNP

	○	×	*
	mRNA loaded LNPs	Empty LNPs	Free mRNA
YOYO-1	-	-	+
TMR-PC	+	+	-
Cy5-mRNA	+	-	+

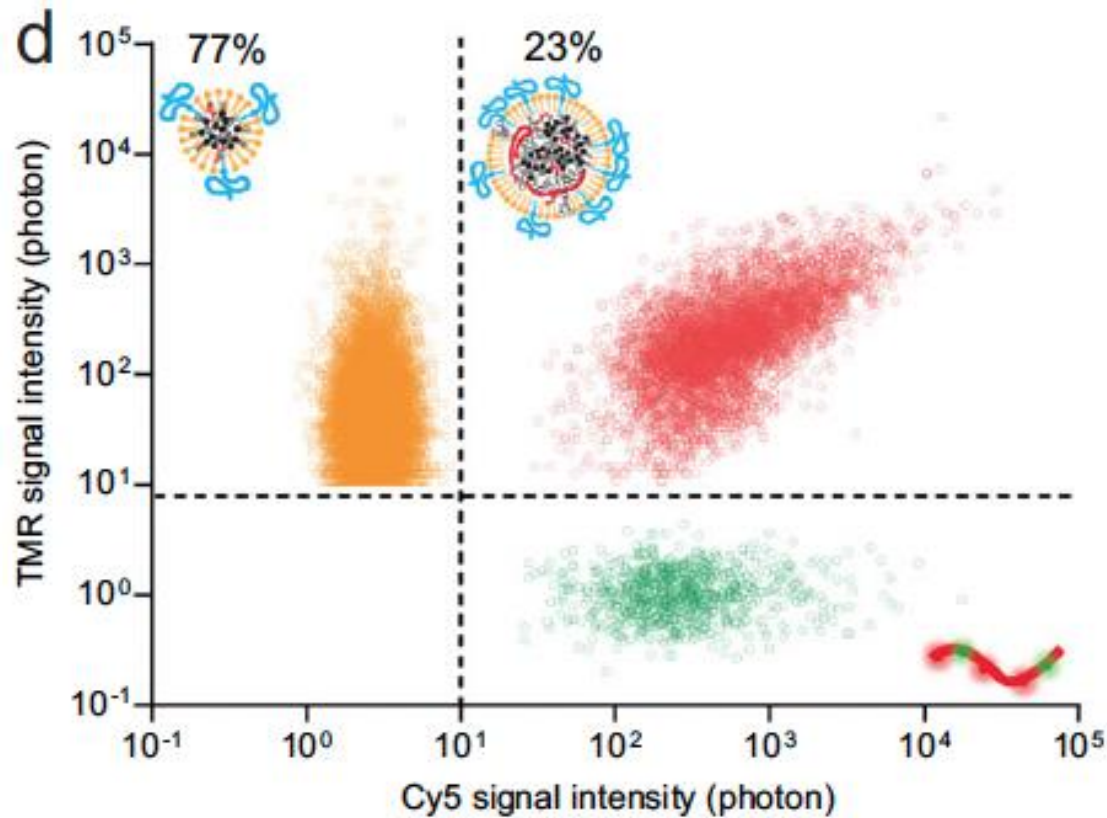


# Characterization of a benchmark formulated mRNA LNP

Dlin-MC3-DMA : Cholesterol : DSPC : DMG-PEG2000 = 50 : 38.5 : 10 : 1.5\*

- Same formulation as ONPATTRO® by Alnylam
- 20 µg/mL mRNA, N/P =6

*Final formulation at pH 7.4*



- Differentiate mRNA loaded LNP vs. empty LNP
- Quantify mRNA payload distribution in the formulation

For clarity, 10% of 195,090 signals are shown in the figure. The percentages labeled are relative to all TMR events.

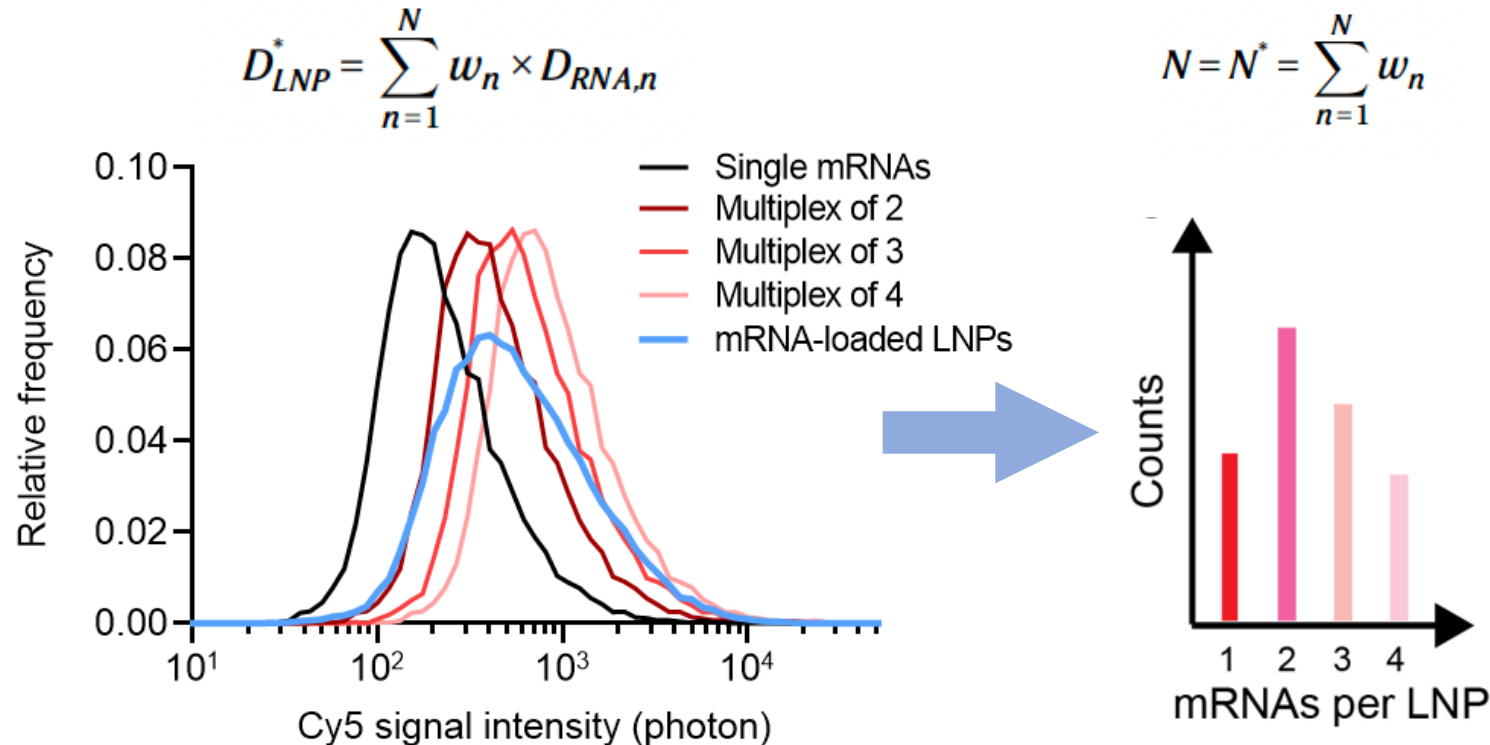
Label on helper lipid

Label on mRNA

# Quantification of mRNA payload and lipid content in single mRNA LNP

## CICS platform+ Deconvolution algorithm -> accurate characterization of single LNP

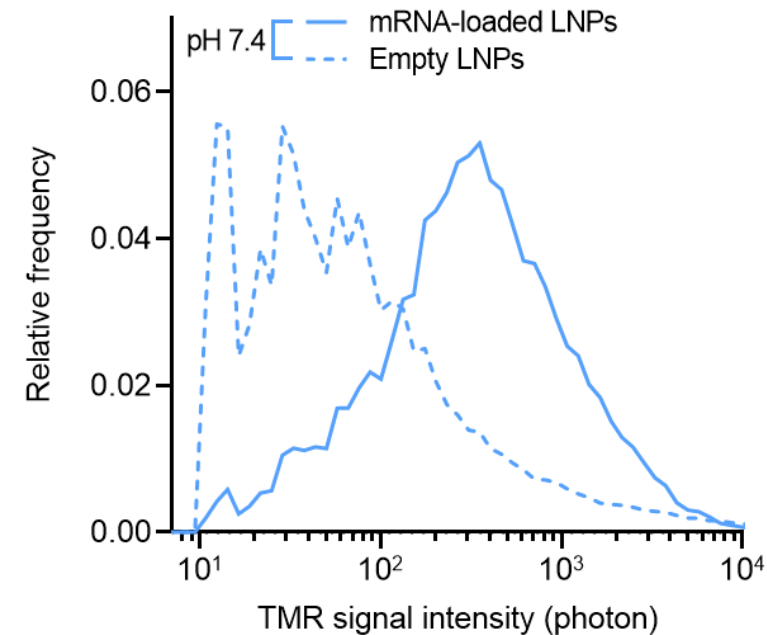
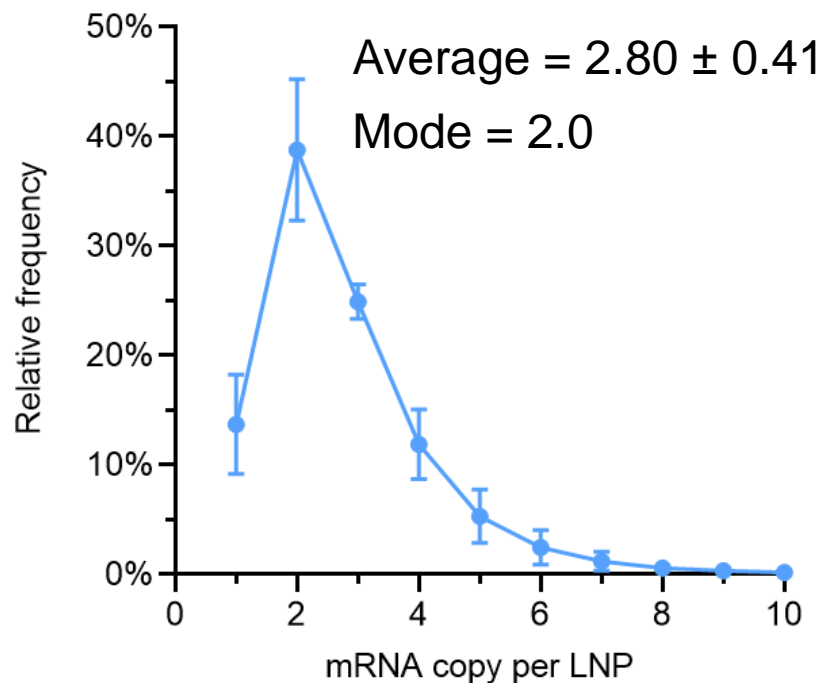
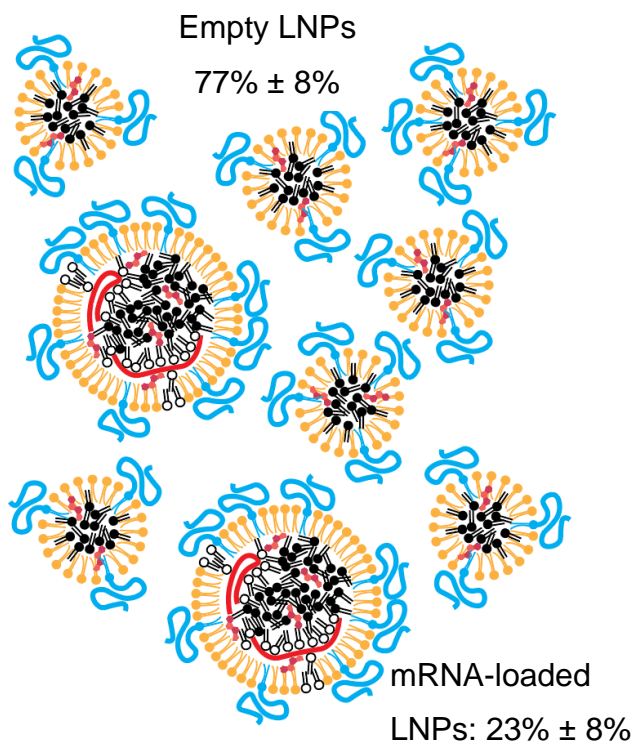
- Deconvolution takes multiple variation in fluorescence detection into consideration
- Quantitative analysis at single mRNA LNP level:
  - mRNA payload and its distribution in the formulation
  - Relative helper lipid quantification and its distribution in the formulation



# Single nanoparticle characterization of the mRNA LNP benchmark formulation

Dlin-MC3-DMA : Cholesterol : DSPC : DMG-PEG2000 = 50 : 38.5 : 10 : 1.5

Calculating mRNA payload distribution using deconvolution algorithm:



TMR-labeled helper lipid

n = 6 independent formulation experiments;  
Data are presented as mean values ± SD.

☺☺☺ Charged ionizable lipids  
☺☺☺ Helper lipids

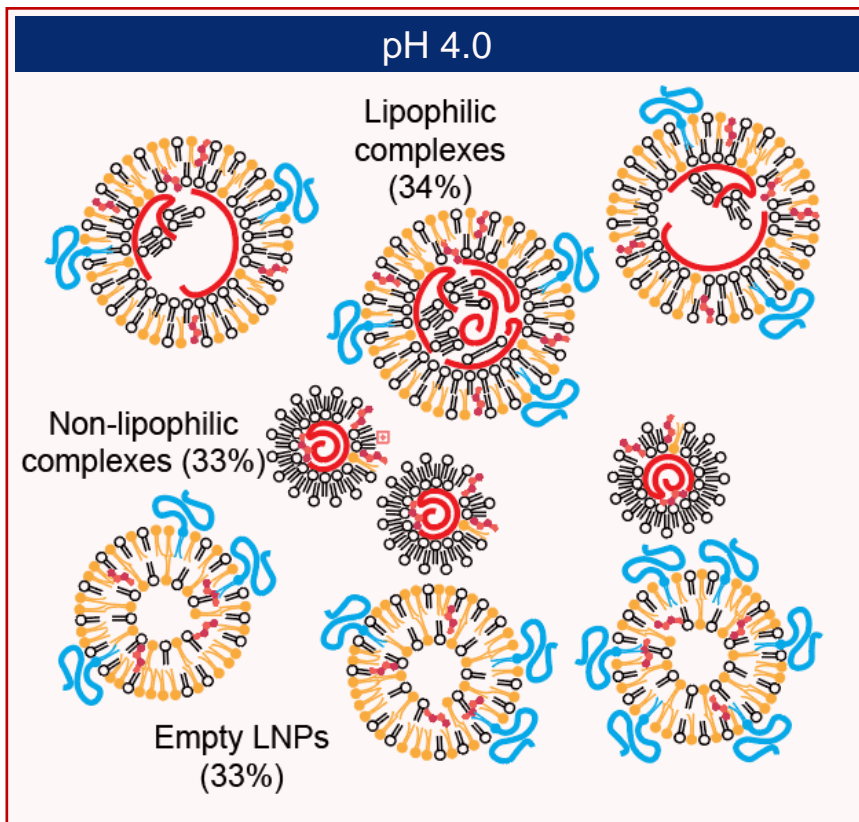
☹☹☹ Deprotonated ionizable lipids  
☞☞ Cholesterol

☺ PEG lipid  
☞ mRNA



# mRNA LNP composition change: Initial stage (pH 4.0)

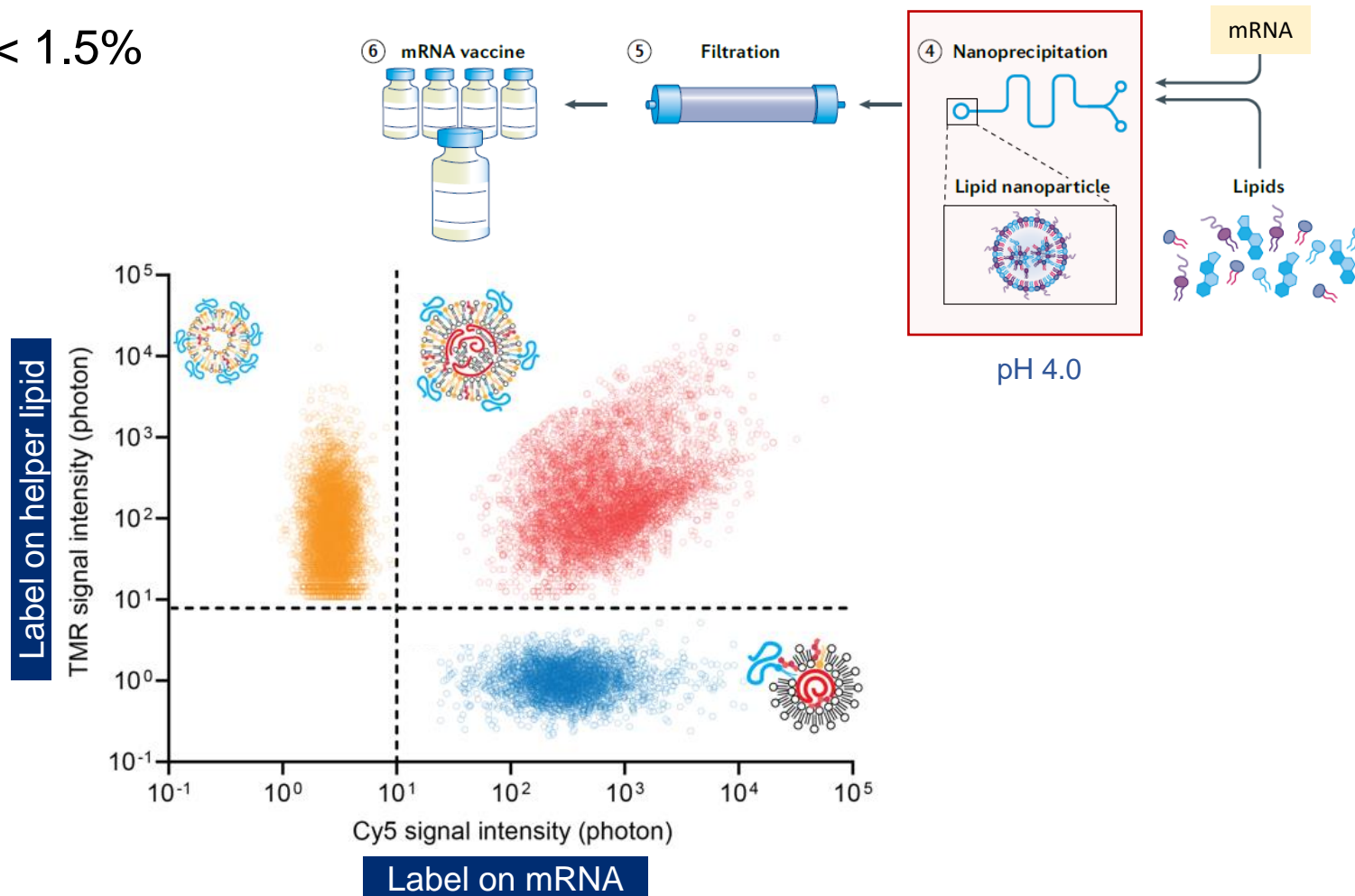
Low PEG lipid level: DMG-PEG2000 < 1.5%



☺☺☺ Charged ionizable lipids  
☺☺☺ Helper lipids

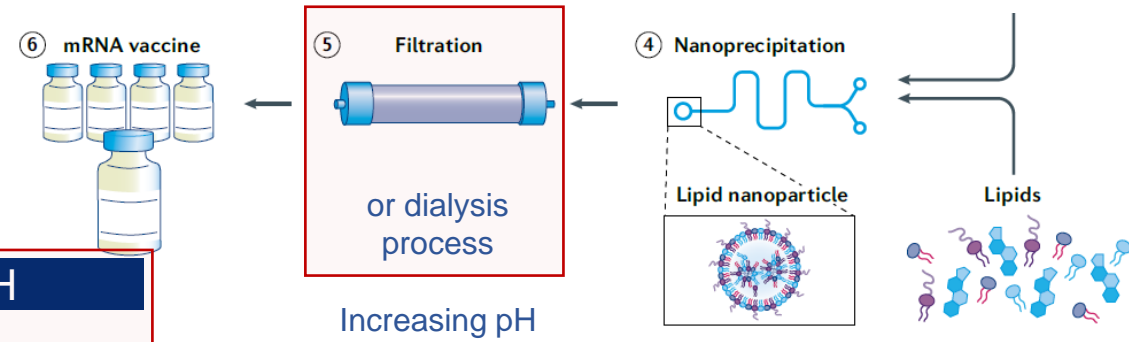
☹☹☹ Deprotonated ionizable lipids  
☹☹☹ Cholesterol

☺ PEG lipid  
☹ mRNA



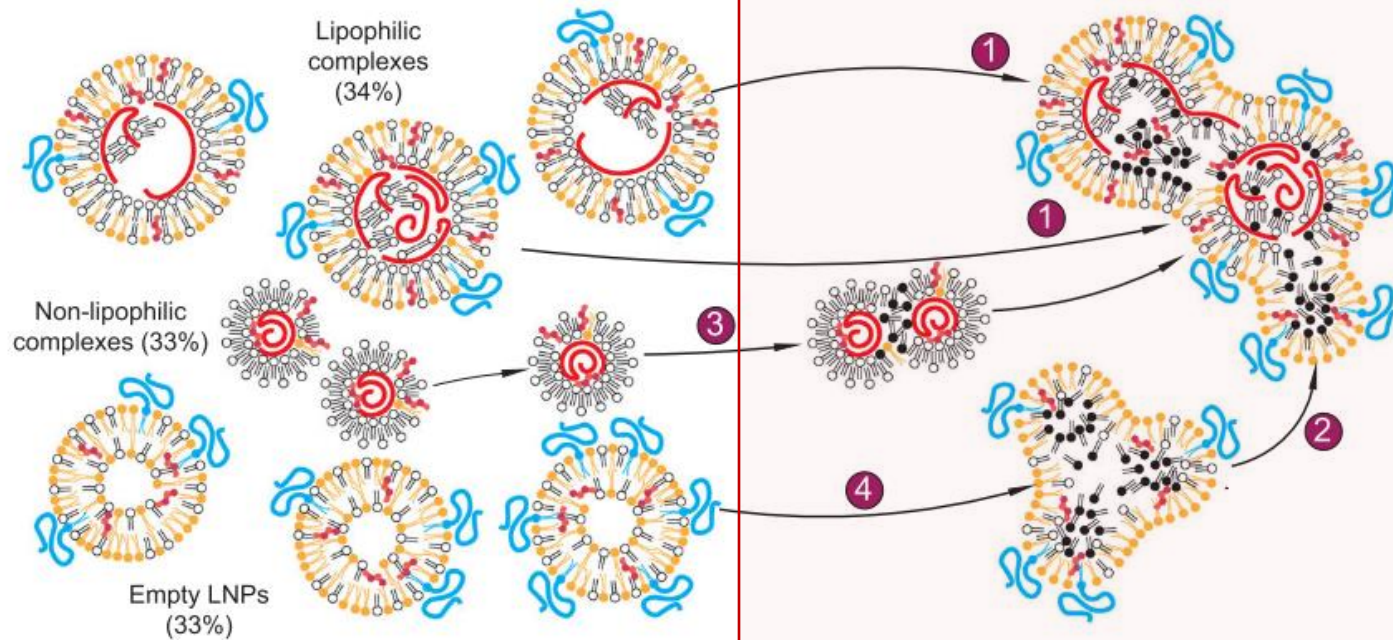
# mRNA LNP composition change: During filtration process

Low PEG lipid level: DMG-PEG2000 < 1.5%



pH 4.0

In dialysis, increasing pH

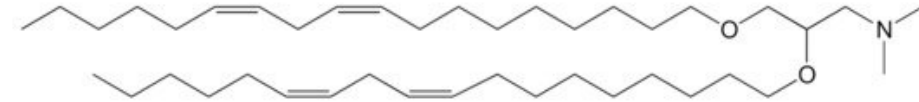


☺☺☺ Charged ionizable lipids  
☺☺☺ Helper lipids

⦿⦿⦿ Deprotonated ionizable lipids  
☞☞☞ Cholesterol

☺ PEG lipid  
☞ mRNA

Dlin-MC3-DMA, pKa = 6.44



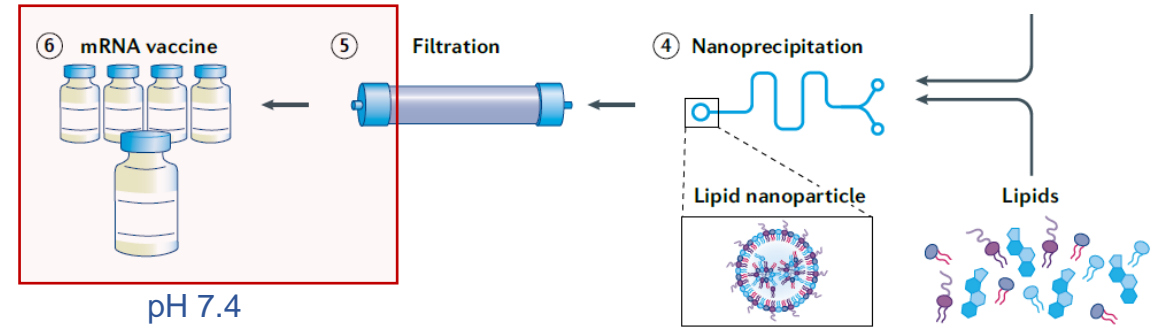
- Hydrophobic interactions of ionizable lipids
- Re-distribution of helper lipid
- Steric effects by PEG lipids

## Possible composition change during dialysis

1. Merge between lipophilic complexes
2. Merge of empty LNPs & mRNA complexes
3. Merge of non-lipophilic complexes
4. Split of empty LNPs

# mRNA LNP composition change: Final stage (pH 7.4)

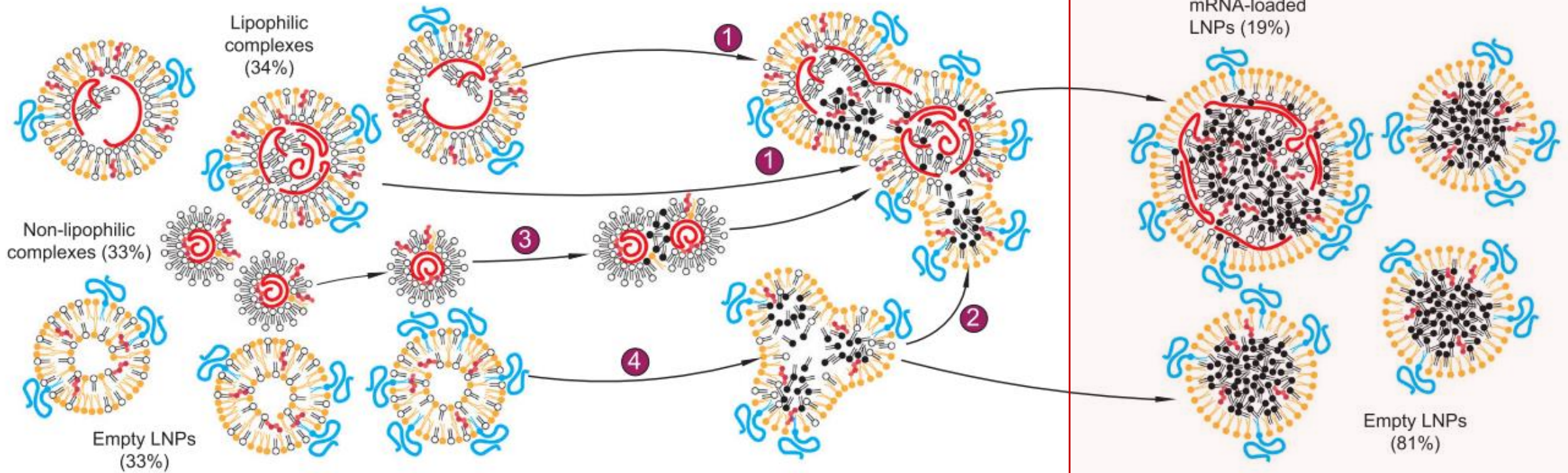
Low PEG lipid level: DMG-PEG2000 < 1.5%



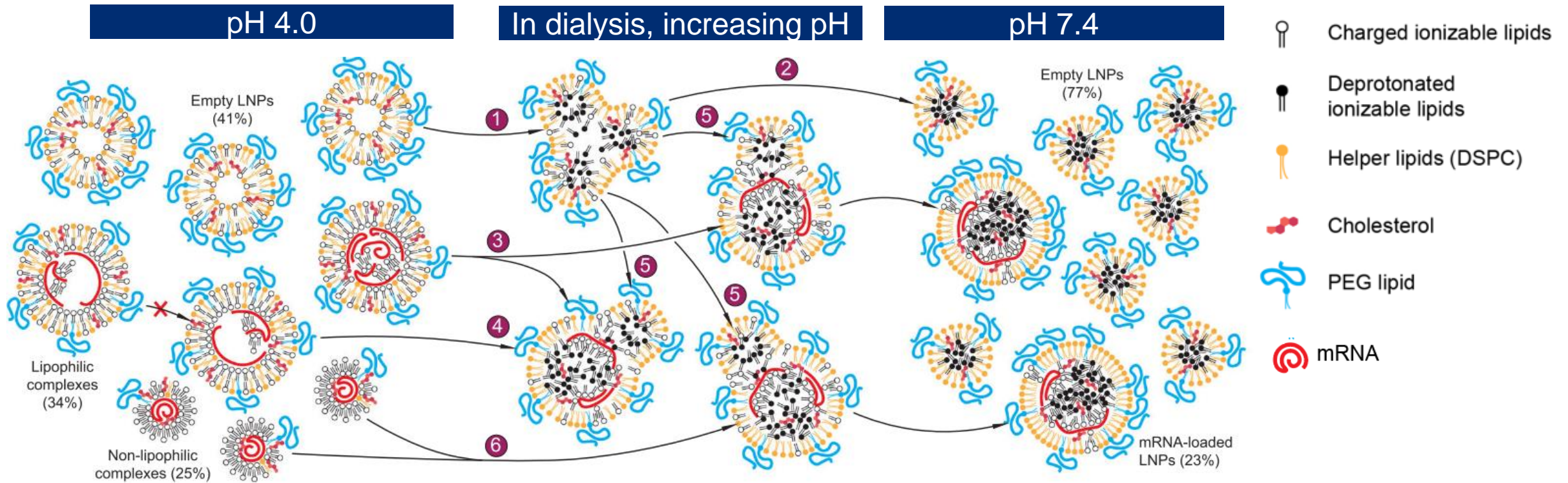
pH 4.0

In dialysis, increasing pH

pH 7.4



# mRNA LNP composition change during filtration: High PEG lipid concentration

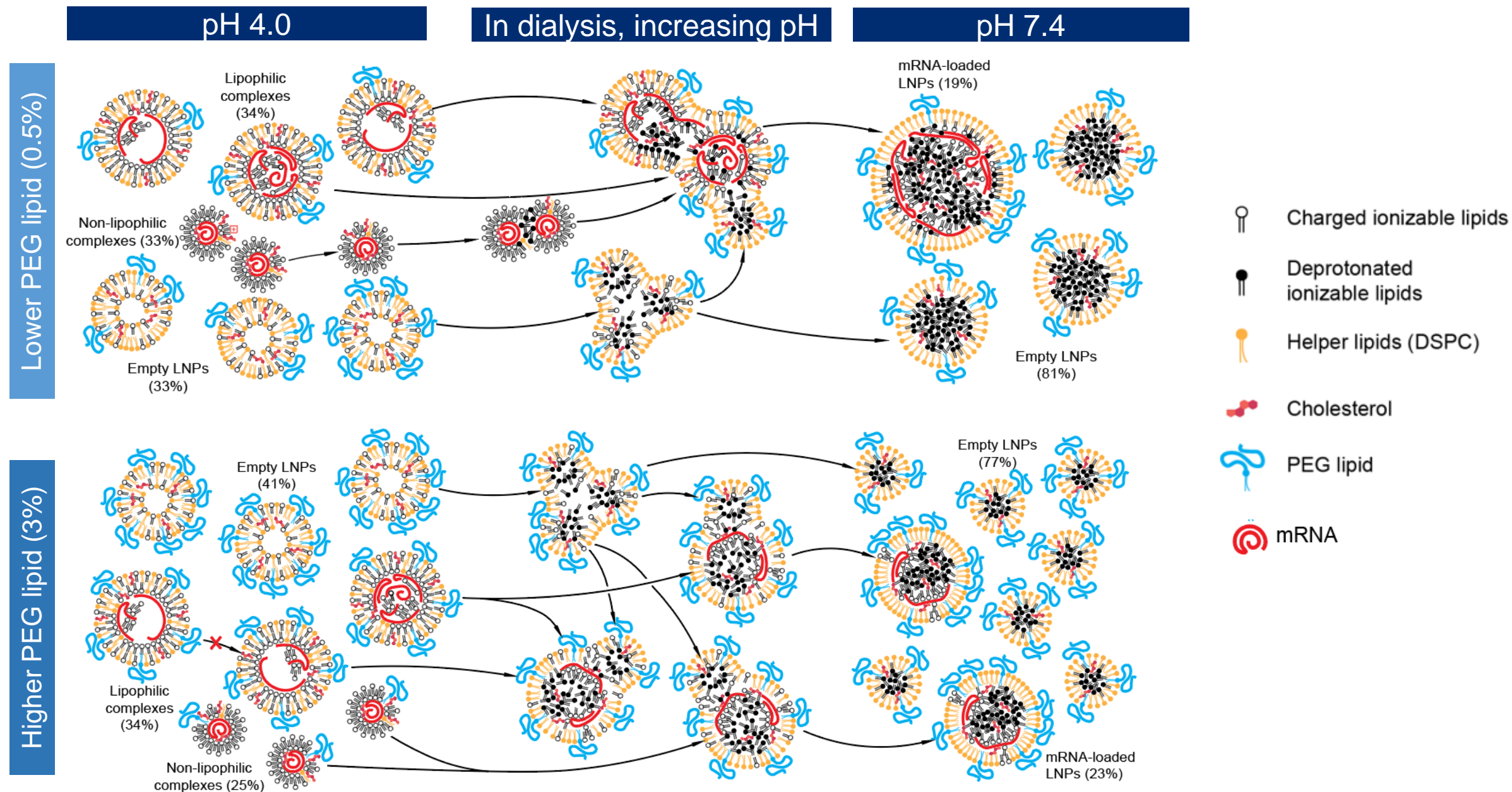


## Possible composition change during dialysis:

1. Splitting of empty LNPs
2. Stabilization of empty LNPs
3. Splitting of lipophilic complexes with an initially high mRNA payload
4. Remaining a same mRNA payload for lipophilic complexes with an initially low or intermediate payload
5. Merge of empty LNPs with mRNA complexes
6. Merge of non-lipophilic complexes.

Note: The cross mark indicates the mRNA payload of lipophilic complexes does not increase without merge

# mRNA LNP composition change during filtration: Effect of PEG lipid concentration



# Composition and properties of the mRNA LNP benchmark formulation

**Table 2 | Composition features of the benchmark LNP formulation at an mRNA concentration of 20 µg/mL and an N/P ratio of 6**

	Before dialysis at pH 4.0 (i.e., the initial LNPs)	After dialysis at pH 7.4 (i.e., the final LNP product)
Number-average payload (mRNA copy per particle)	Lipophilic complexes: $3.43 \pm 0.38$ Non-lipophilic complexes: $1.34 \pm 0.20$ All nanoparticles: $2.51 \pm 0.24$	$2.80 \pm 0.41$
Mode (most abundant) of mRNA payload	Lipophilic complexes: 2 Non-lipophilic complexes: 1	2
Populations	$34\% \pm 8\%$ lipophilic complexes $25\% \pm 4\%$ non-lipophilic complexes $41\% \pm 10\%$ empty LNPs	$23\% \pm 8\%$ mRNA-loaded LNPs $77\% \pm 8\%$ empty LNPs
Particle number concentration*	Lipophilic complexes: $8.56 \times 10^{15} \pm 1.26 \times 10^{15} \text{ mL}^{-1}$ Non-lipophilic complexes: $6.47 \times 10^{15} \pm 9.74 \times 10^{14} \text{ mL}^{-1}$ Empty LNPs: $1.11 \times 10^{16} \pm 5.04 \times 10^{15} \text{ mL}^{-1}$	mRNA-loaded LNPs: $1.29 \times 10^{16} \pm 2.22 \times 10^{15} \text{ mL}^{-1}$ empty LNPs: $4.88 \times 10^{16} \pm 2.49 \times 10^{16} \text{ mL}^{-1}$
Encapsulation efficiency	N/A	$94.2\% \pm 3.6\%$ by RiboGreen** $85.6\% \pm 5.1\%$ by CICS*
Average particle size***	$106.3 \pm 13.0 \text{ nm}$	$120.5 \pm 6.0 \text{ nm}$
Zeta-potential***	$+45.1 \pm 0.9 \text{ mV}$	$-6.3 \pm 1.3 \text{ mV}$

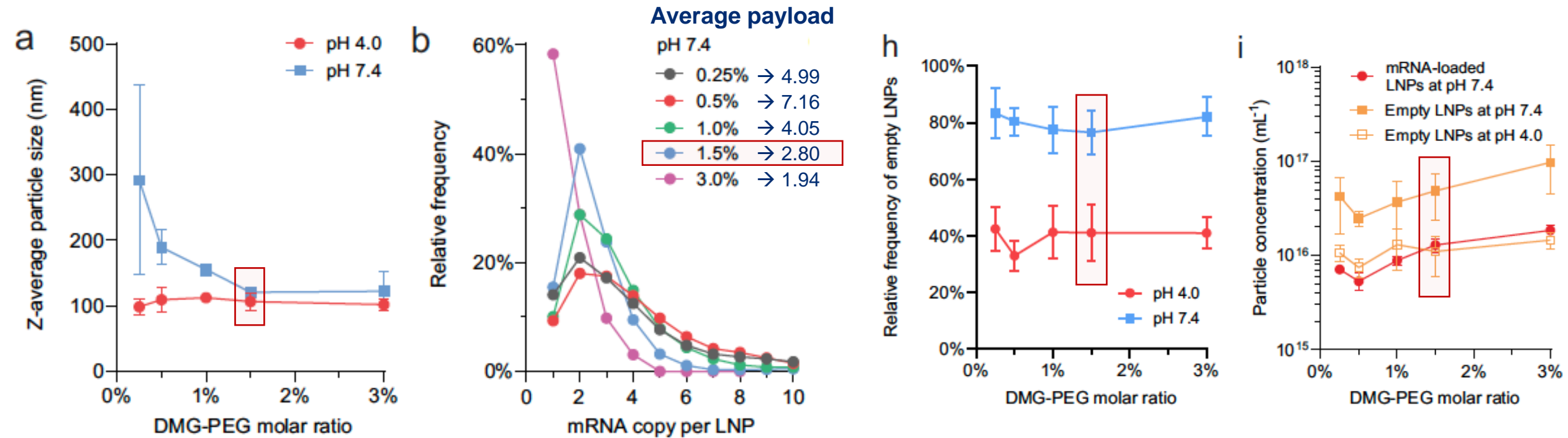
Lipid composition: DLin-MC3-DMA:cholesterol:DSPC:DMG-PEG2000 = 50:38.5:10:1.5.

\*The calculations for these parameters from CICS data are detailed in Supplementary Discussion 2–5;

\*\*The assay is described in Methods;

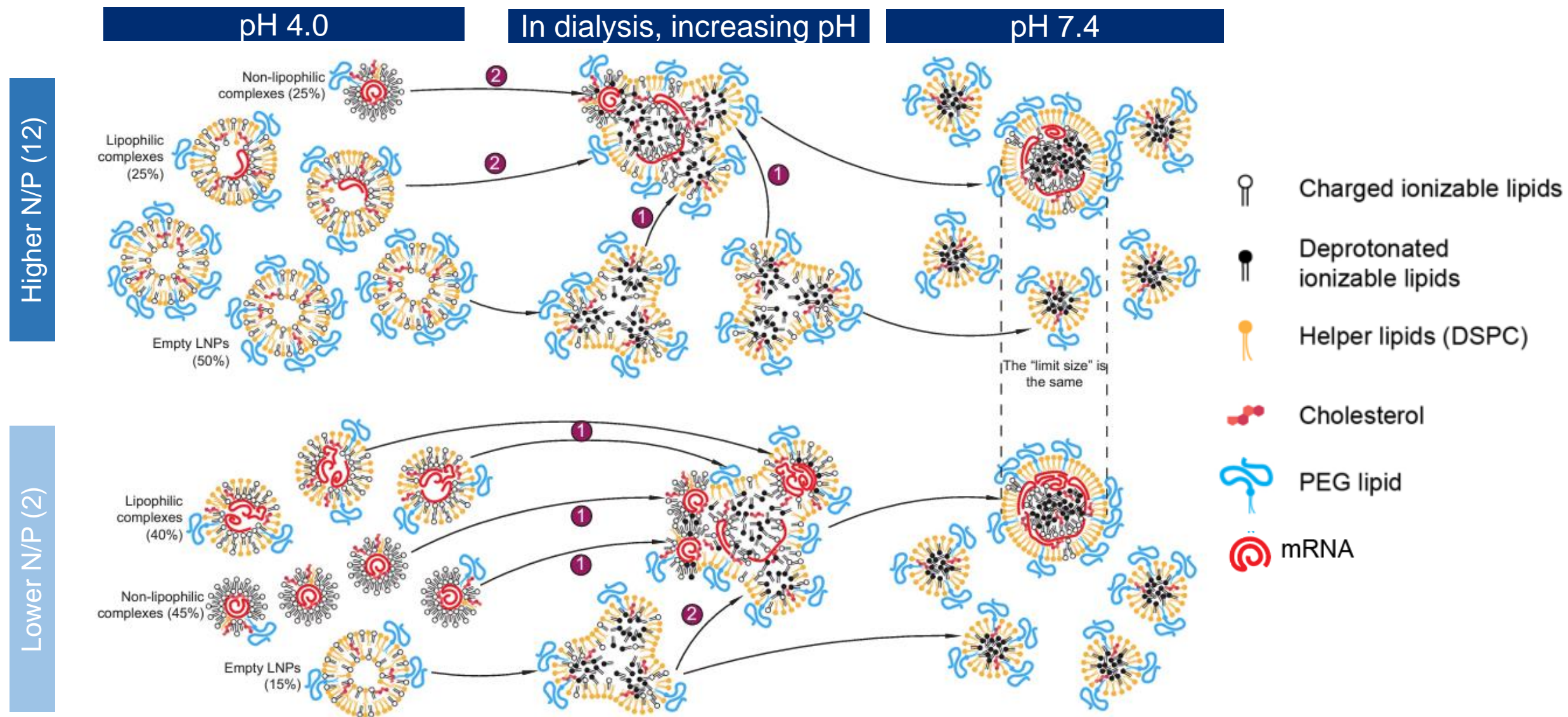
\*\*\*The particle size is reported as z-average diameter assessed by dynamic light scattering (DLS), that counted all empty or mRNA-loaded LNPs. The zeta-potential was assessed by phase analysis light scattering (PALS).

# Effect of PEG lipid concentration on mRNA LNP size and payload



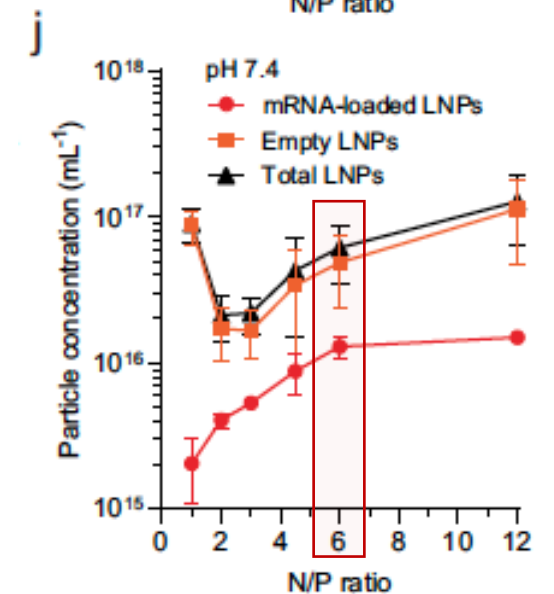
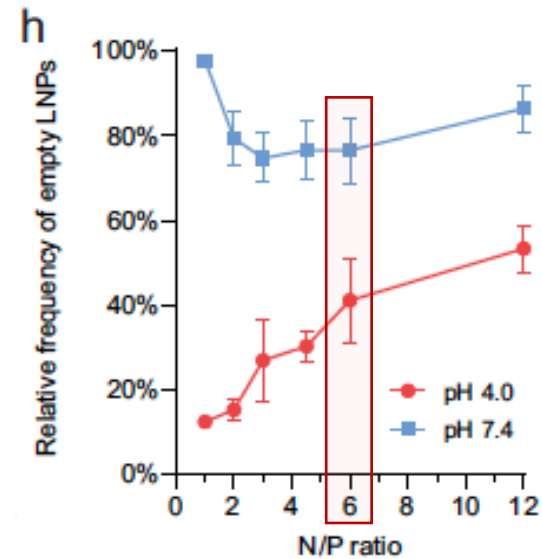
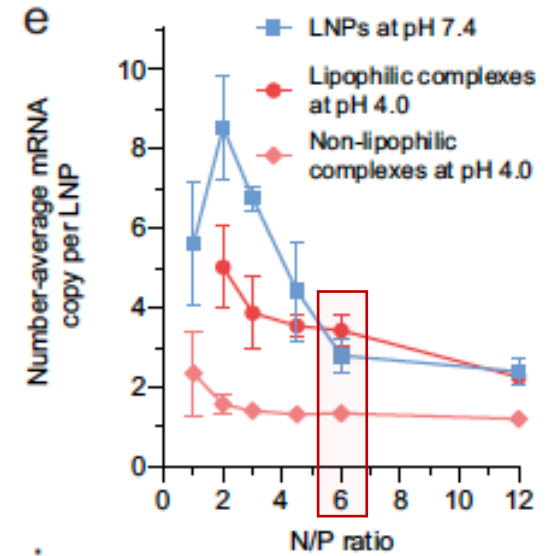
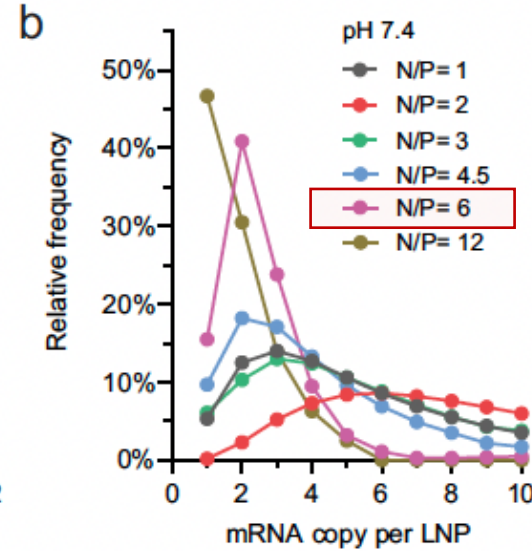
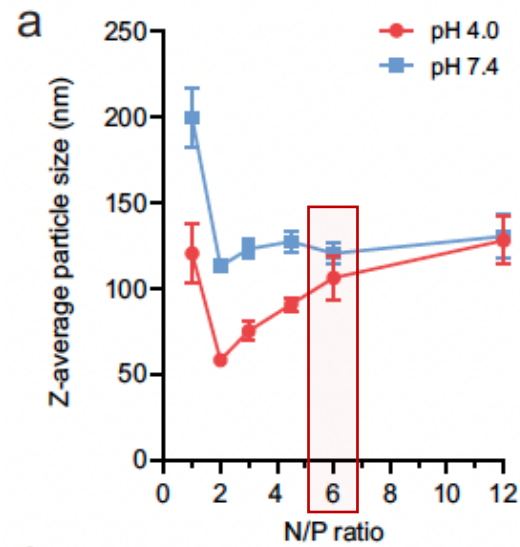
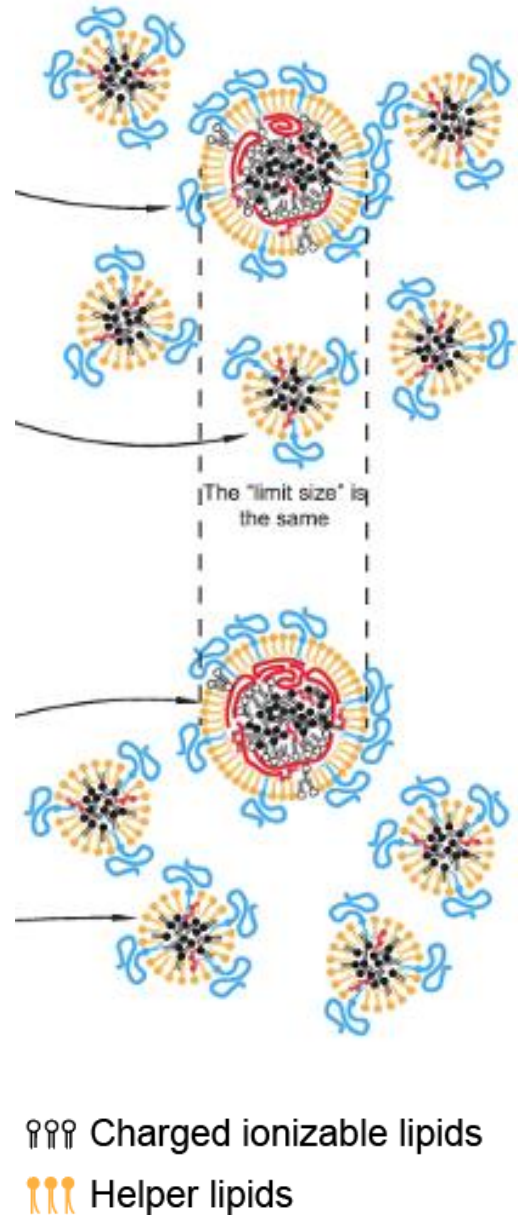
- LNP size decrease and became stable at higher PEG%
- mRNA payload capacity correlates with LNP size
- Relative constant empty LNP ratio at pH 7.4

# mRNA LNP composition change during filtration: Effect of N/P ratio

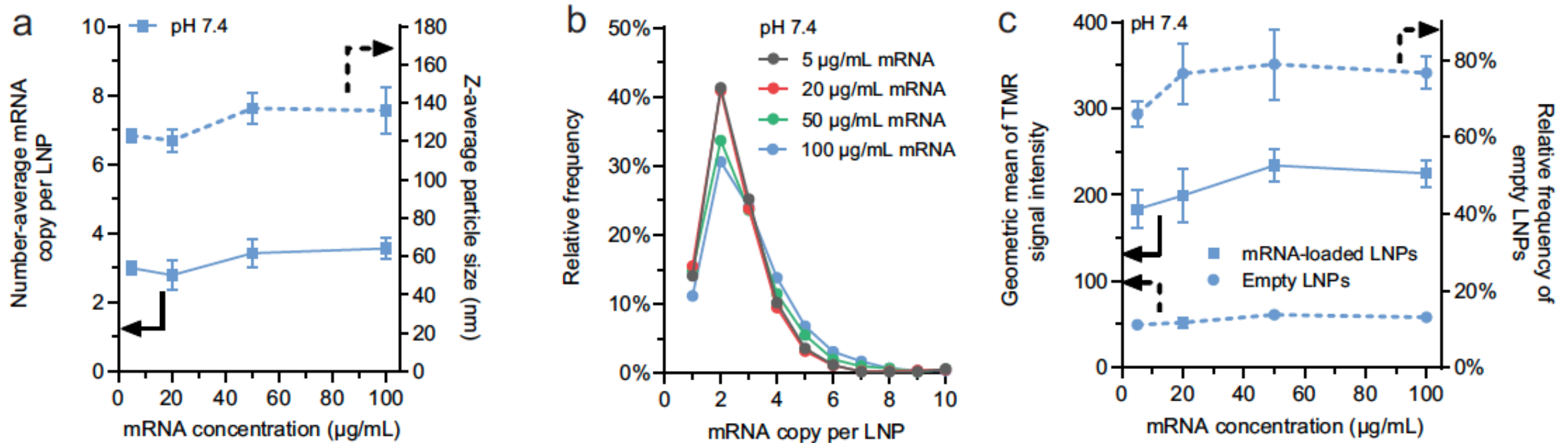




# mRNA LNP composition change during filtration: Effect of N/P ratio (Cont.)

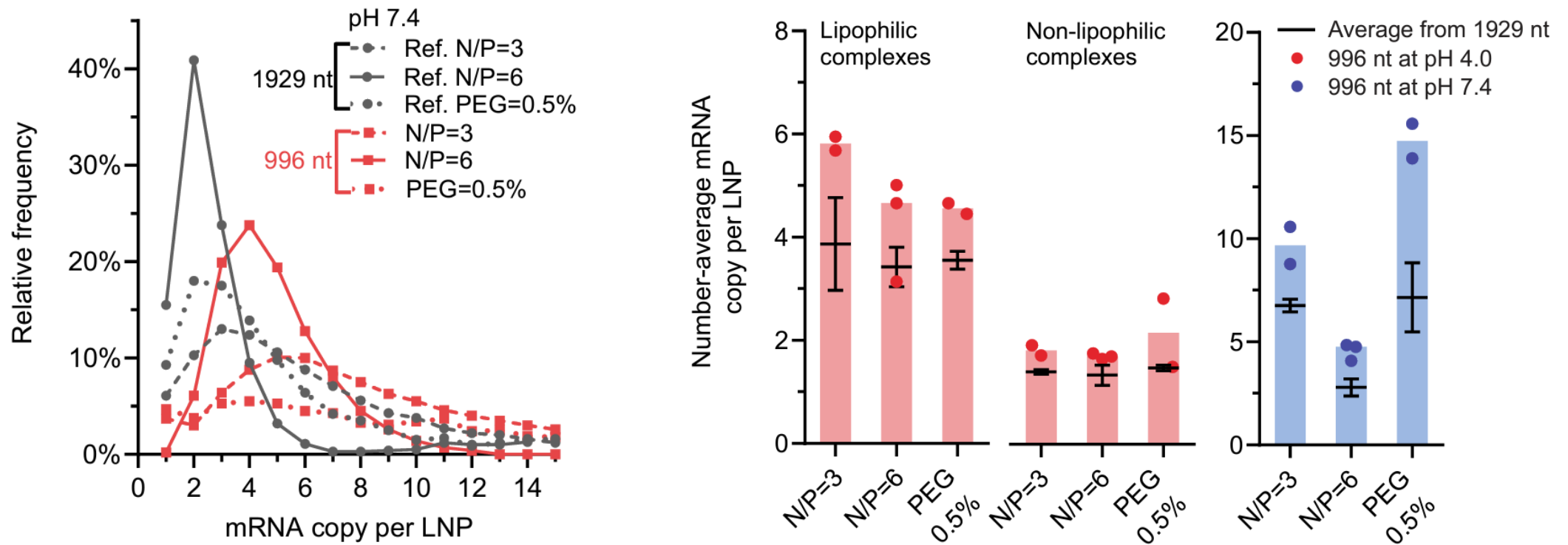


# Characterization of payload in mRNA LNP: Effect of mRNA concentration



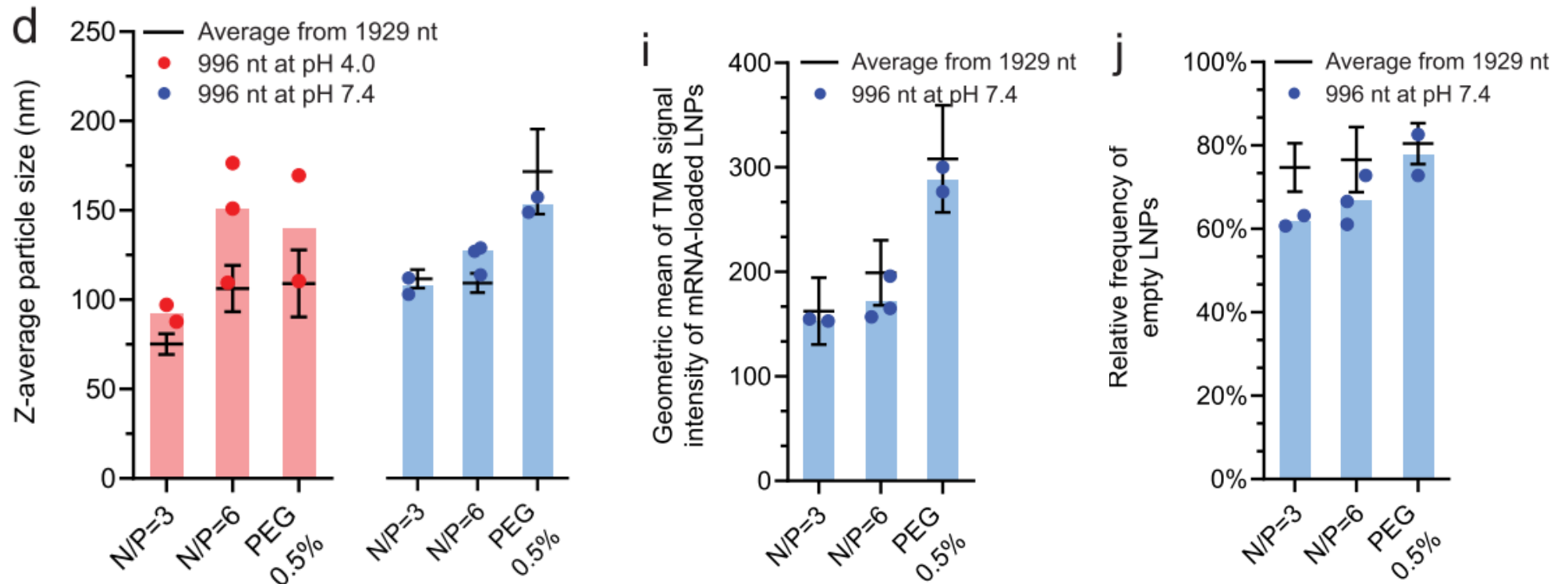
- Increase mRNA concentration while N/P=6
- mRNA concentration has no obvious effects on :
  - Payload level and distribution
  - Particle size
  - Empty particle percentage

# Characterization of payload in mRNA LNP: Effect of mRNA length



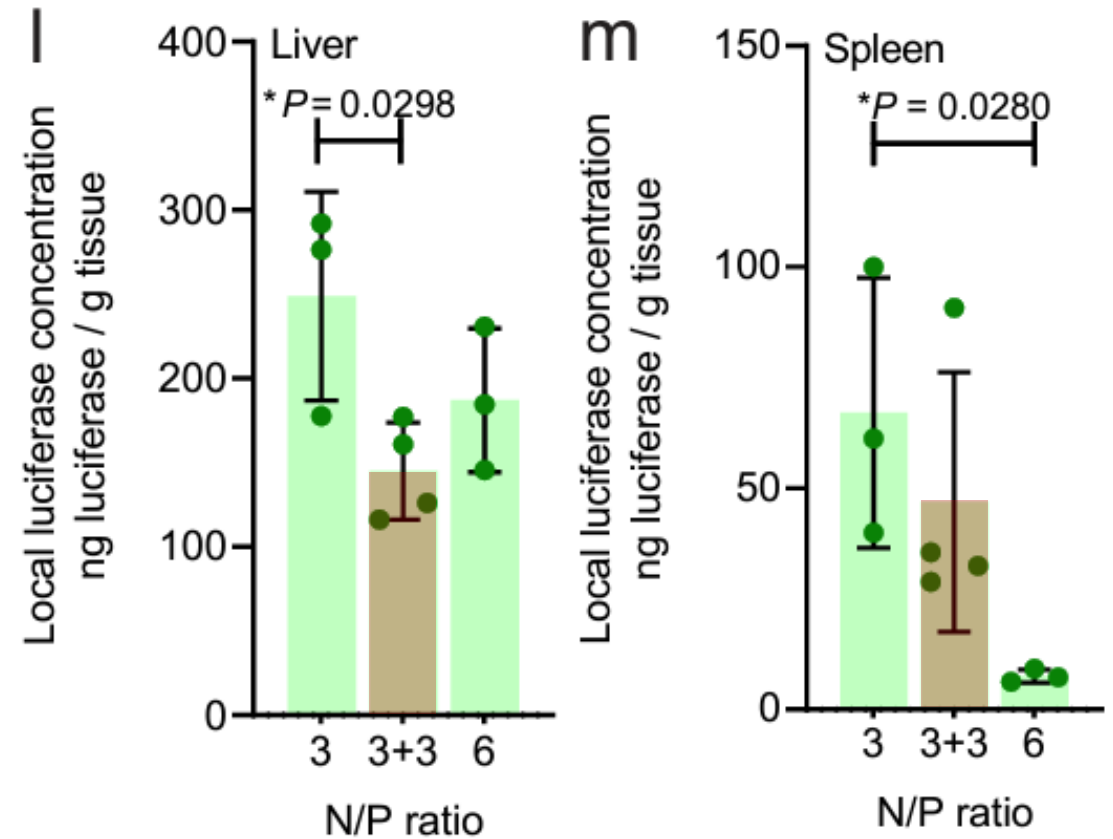
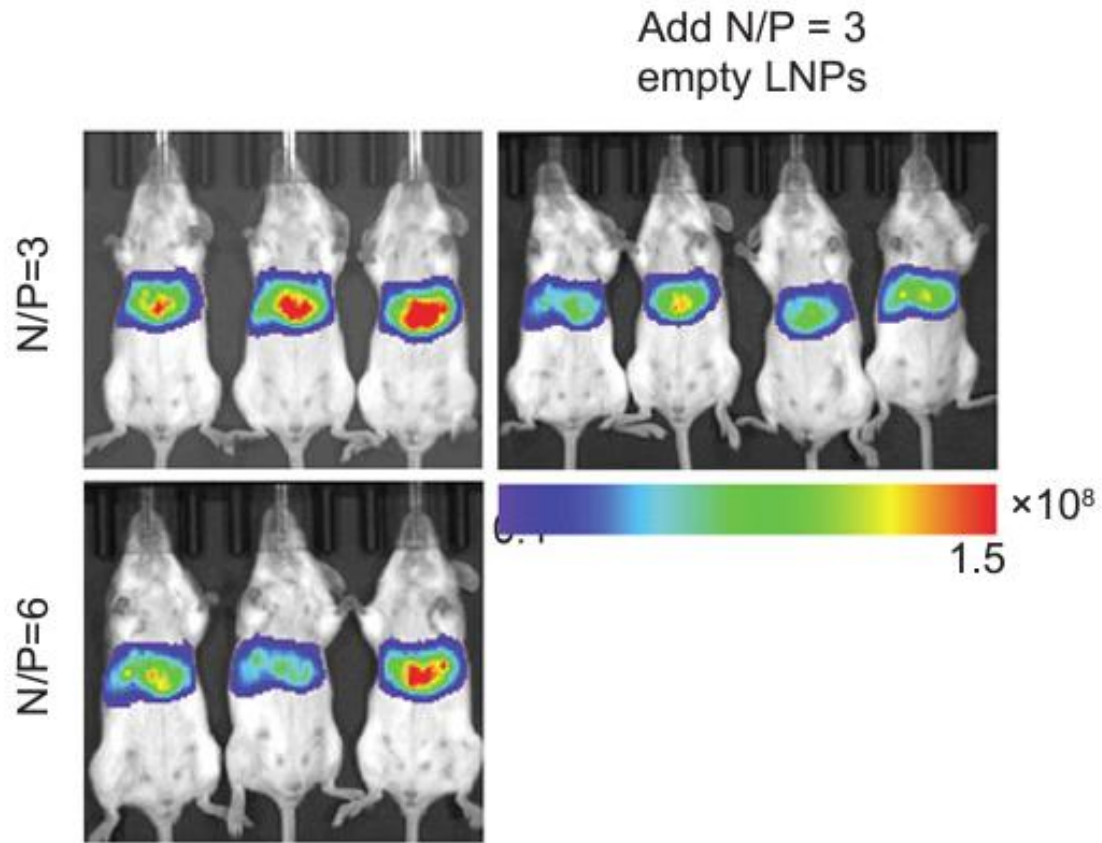
- mRNA length (1929 nt vs. 996 nt) has remarkable effects on payload and its distribution
  - Almost doubled payload for half mRNA length (mode of the payload distribution)

# Characterization of payload in mRNA LNP: Effect of mRNA length (Cont.)



- mRNA length (1929 nt vs. 996 nt) has remarkable effects on payload and its distribution
  - No obvious change in LNP size @ pH 7.4
  - Helper lipid content of mRNA-loaded LNPs at pH 7.4 similar for two mRNA sizes
  - LNP assembly influenced by the lipid-to-mRNA mass (N/P) ratio, rather than mRNA concentrations.
  - Fraction of empty LNPs decreased for shorter mRNA

# Characterization of payload in mRNA LNP: Effect of empty LNP



- N/P =3 & 6, have ~75% empty LNPs, N/P 3+3 with ~ 86% empty LNPs
- N/P = 6 contains ~ 50% mRNA payload compared to N/P = 3
- Fraction of empty LNPs and payload capacity may influence the transgene expression profile

## communications biology

ARTICLE



<https://doi.org/10.1038/s42003-023-04555-1>

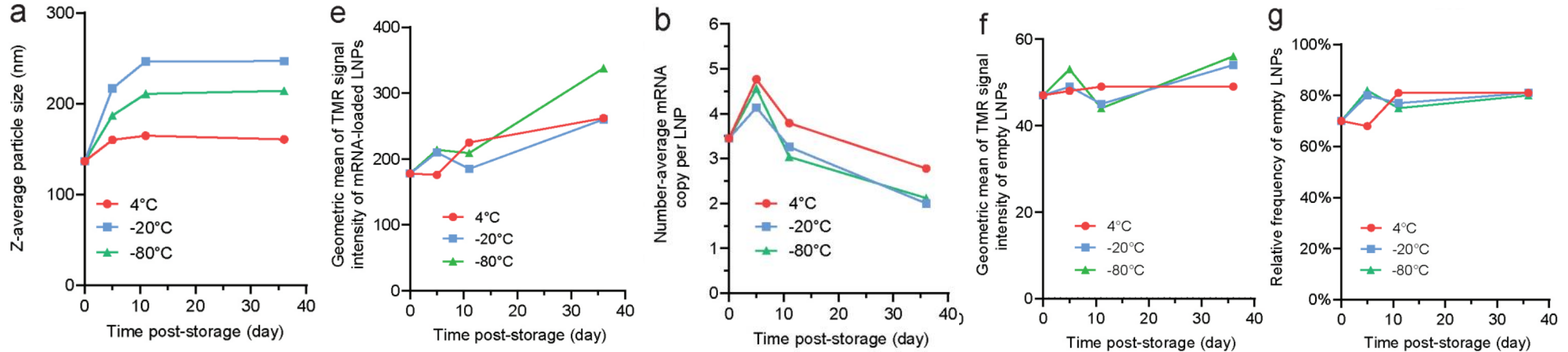
OPEN

### Lipid nanoparticles (LNP) induce activation and maturation of antigen presenting cells in young and aged individuals

Jennifer Connors <sup>1,2</sup>, David Joyner<sup>2,3,8</sup>, Nathan J. Mege <sup>4,8</sup>, Gina M. Cusimano <sup>1,2</sup>, Matthew R. Bell <sup>1,2</sup>, Jennifer Marcy<sup>3</sup>, Bhavani Taramangalam<sup>1,2</sup>, Kenneth M. Kim<sup>1</sup>, Paulo J. C. Lin<sup>5</sup>, Ying K. Tam<sup>5</sup>, Drew Weissman<sup>6,7</sup>, Michele A. Kutzler<sup>1,2</sup>, Mohamad-Gabriel Alameh<sup>6,7</sup> & Elias K. Haddad <sup>1,2</sup>

- Drexel Univ., Acuitas Therapeutics and Upenn researchers discovered
  - Empty LNP (eLNP) could induce maturation of monocyte derived dendritic cells (MDDCs)
  - eLNP could lead to lower immune responses to SARS-CoV-2 mRNA-based vaccines

# Monitoring stability of mRNA LNP at different storage conditions



- **Conditions:**

- LNPs formulated with 5% sucrose as cryo-protectant
- Thaw and test up to 36 days

- **Observations:**

- mRNA LNP size increased over time (liposomal fusion):  $-20^{\circ}\text{C} > -80^{\circ}\text{C} > 4^{\circ}\text{C}$
- Leakage of encapsulated payload:  $-20^{\circ}\text{C} \approx -80^{\circ}\text{C} > 4^{\circ}\text{C}$
- Empty LNP percentage and helper lipid content remains stable

# Summary

- **Developed a multi-parametric analytical technique to characterize mRNA lipid nanoparticle**
  - **Single nanoparticle analysis** based on multi-color fluorescence
  - **Multiple CQAs** reported: mRNA payload distribution, EE%, empty NP%, lipid composition, stability, particle concentrations
  - **High sensitivity, high throughput and low sample consumption** for mRNA LNP formulation screening
- **Investigated the dynamic assembly mechanism of mRNA LNP during filtration and purification**
  - Provided mechanistic explanation of LNP composition change during the process
  - Analyzed the effect of formulation factors (N/P, PEG%, mRNA length etc.) on mRNA payload and its distribution

*Nature Communications*

Top 25 Life and Biological Sciences Articles of 2022

nature communications



Article

<https://doi.org/10.1038/s41467-022-33157-4>

## Payload distribution and capacity of mRNA lipid nanoparticles

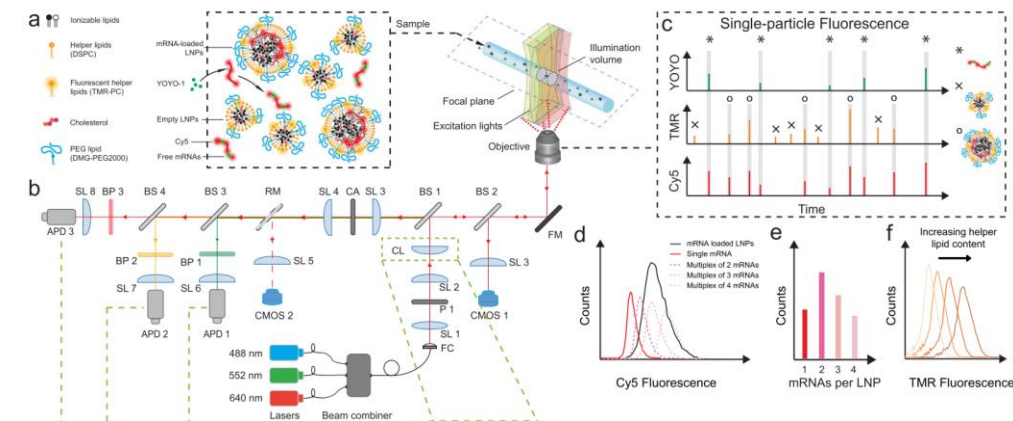
Received: 13 January 2022

Accepted: 5 September 2022

Published online: 23 September 2022

Check for updates

Sixuan Li<sup>1,7</sup>, Yizong Hu<sup>2,3,4,7</sup>✉, Andrew Li<sup>3</sup>, Jinghan Lin<sup>2,3</sup>, Kuangwen Hsieh<sup>1</sup>, Zachary Schneiderman<sup>2,5</sup>, Pengfei Zhang<sup>3</sup>, Yining Zhu<sup>2,3,4</sup>, Chenhu Qiu<sup>2,6</sup>, Efrosini Kokkoli<sup>2,5</sup>, Tza-Huei Wang<sup>1,2,3</sup>✉ & Hai-Quan Mao<sup>2,3,4,6</sup>✉





# Outlook

- Improve the comprehensive understanding of structure-property-function relation of RNA LNP
  - **LNP size-payload-lipid content correlation**
  - **Multiple RNA co-packaging**
- Further optimize LNP formulation and provide insights to rational drug design and development
  - Methods to reduce empty LNP
  - Dialysis process
  - Antibody conjugation characterization
- Explore the pharmacological effect of payload characteristics of LNP

# Acknowledgment



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Johns Hopkins University



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Material Science & Engineering  
Johns Hopkins University



Prof. *Efrosini Kokkoli, Ph.D.*  
Chemical and Biomolecular Engineering  
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Fangchi Shao  
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Dr. Yizong Hu  
Currently Postdoc Fellow  
MIT



Jinghan Lin  
PhD student



Yining Zhu  
PhD candidate



Zachary Schneiderman  
PhD candidate

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National Institute of  
Allergy and  
Infectious Diseases

U01AI155313 & R01AI137272



## Payload distribution and capacity of mRNA lipid nanoparticles

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Sixuan Li <sup>1,7</sup>, Yizong Hu <sup>2,3,4,7</sup> , Andrew Li<sup>3</sup>, Jinghan Lin<sup>2,3</sup>,  
Kuangwen Hsieh <sup>1</sup>, Zachary Schneiderman<sup>2,5</sup>, Pengfei Zhang <sup>3</sup>, Yining Zhu<sup>2,3,4</sup>,  
Chenhu Qiu<sup>2,6</sup>, Efrosini Kokkoli <sup>2,5</sup>, Tza-Huei Wang <sup>1,2,3</sup> &  
Hai-Quan Mao <sup>2,3,4,6</sup>



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*BioMEMS & Single Molecule Dynamics Lab*

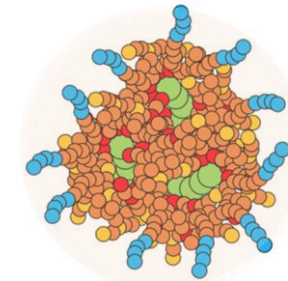
*Johns Hopkins University*

[me.jhu.edu/thwang/](http://me.jhu.edu/thwang/)

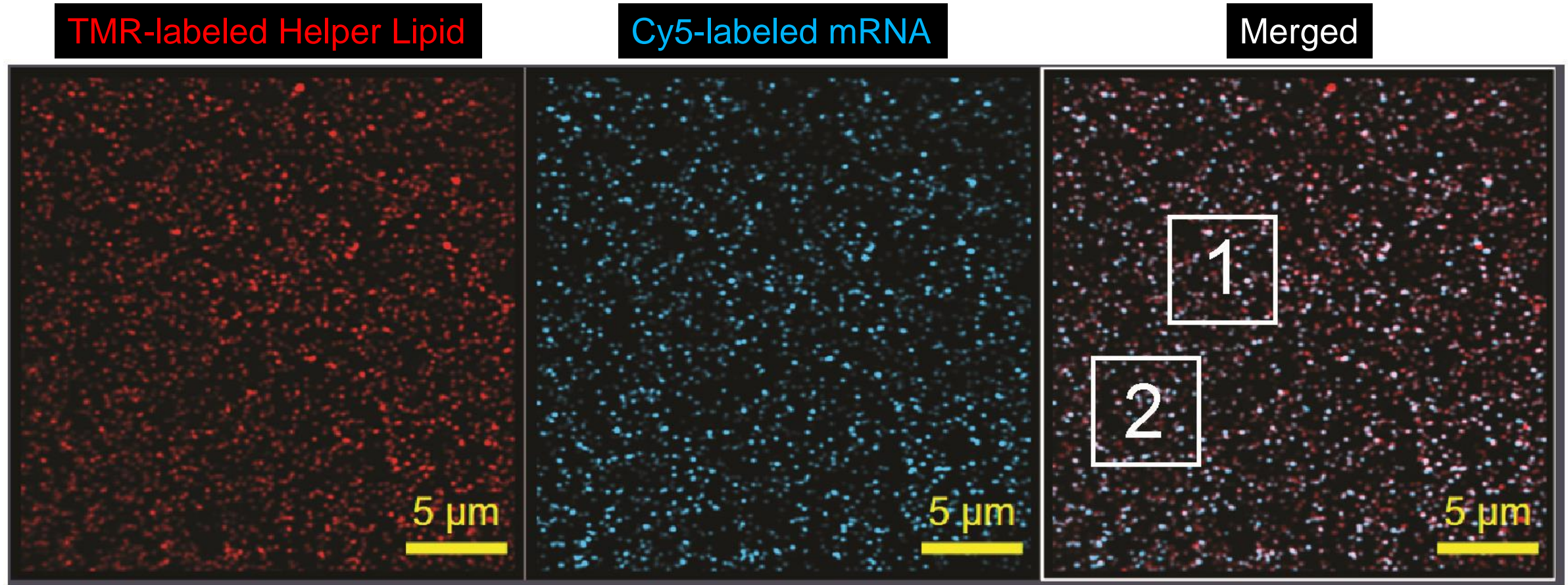


Develop KNPs with precision control of composition, size, and payload capacity for macromolecular nanotherapeutics.

- Continuous and scalable production
- Payload uniformity control



# Airyscan super-resolution confocal microscope imaging of mRNA LNP



Resolution = 120 nm

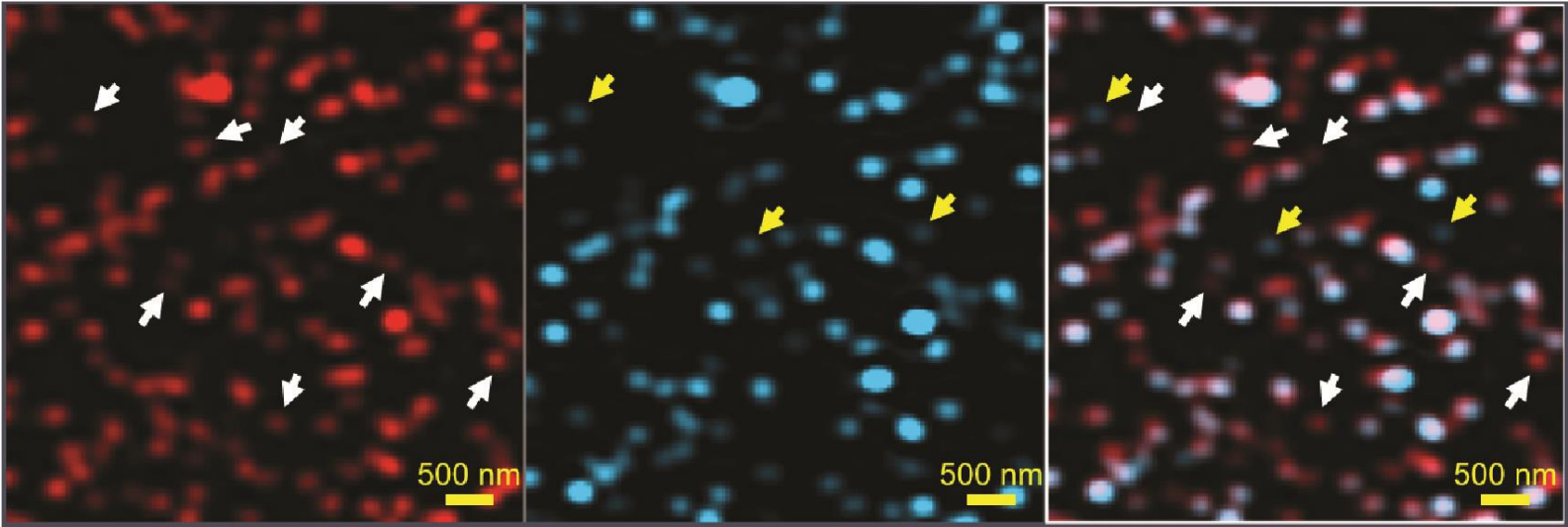
# Airyscan super-resolution confocal microscope imaging of mRNA LNP

TMR-labeled Helper Lipid

Cy5-labeled mRNA

Merged

Zoom 1



Zoom 2

